

THE BEST WAYS TO SLICE A POLYTOPE

joint work with Chiara Meroni and Jesús A. De Loera.
arXiv: 2304.14239

Marie-Charlotte Brandenburg

Nonlinear Algebra Seminar
MPI MiS Leipzig
June 05, 2023

JOINT WORK WITH



Chiara Meroni

ICERM
MPI MiS Leipzig

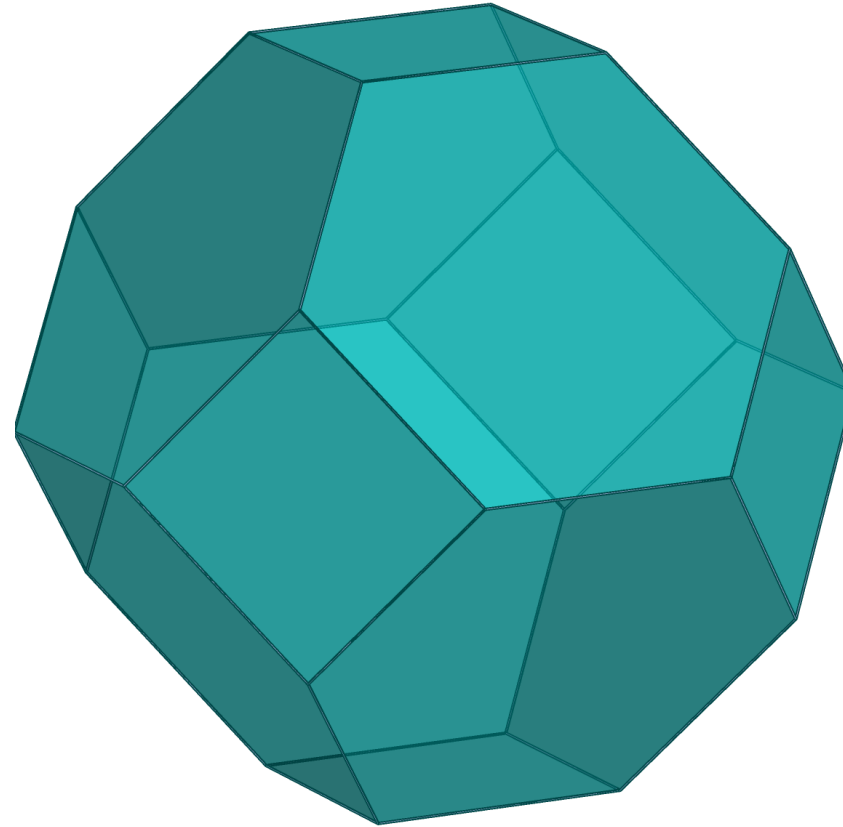


Jesús A. De Loera

UC Davis

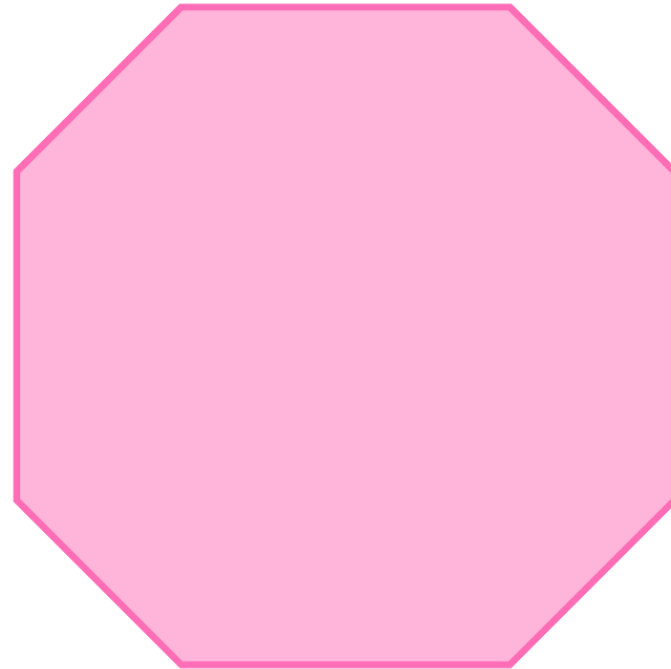
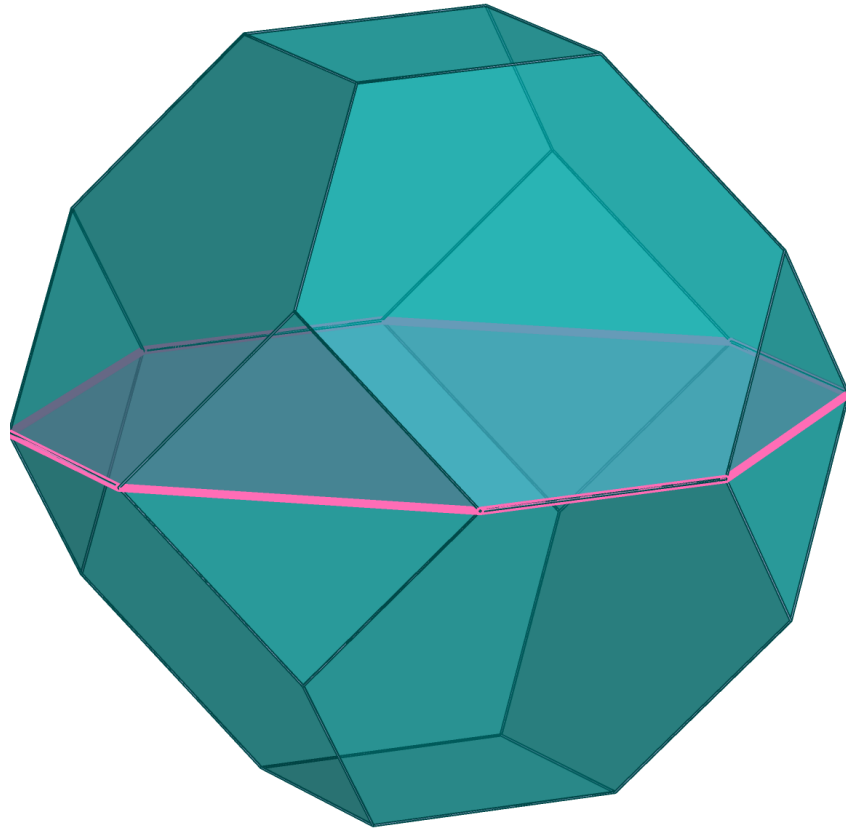
MB, Chiara Meroni, and Jesús A. De Loera. *The Best Ways to Slice a Polytope*. 2023.
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SLICES OF THE PERMUTOHEDRON



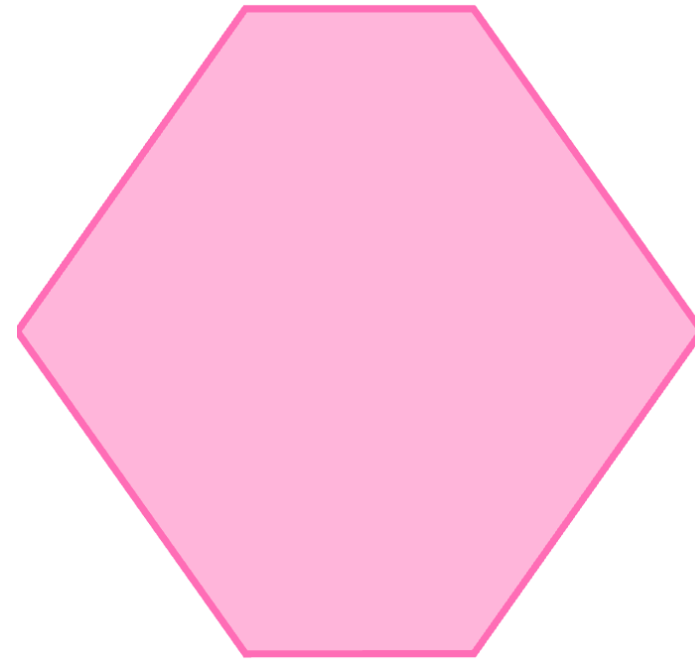
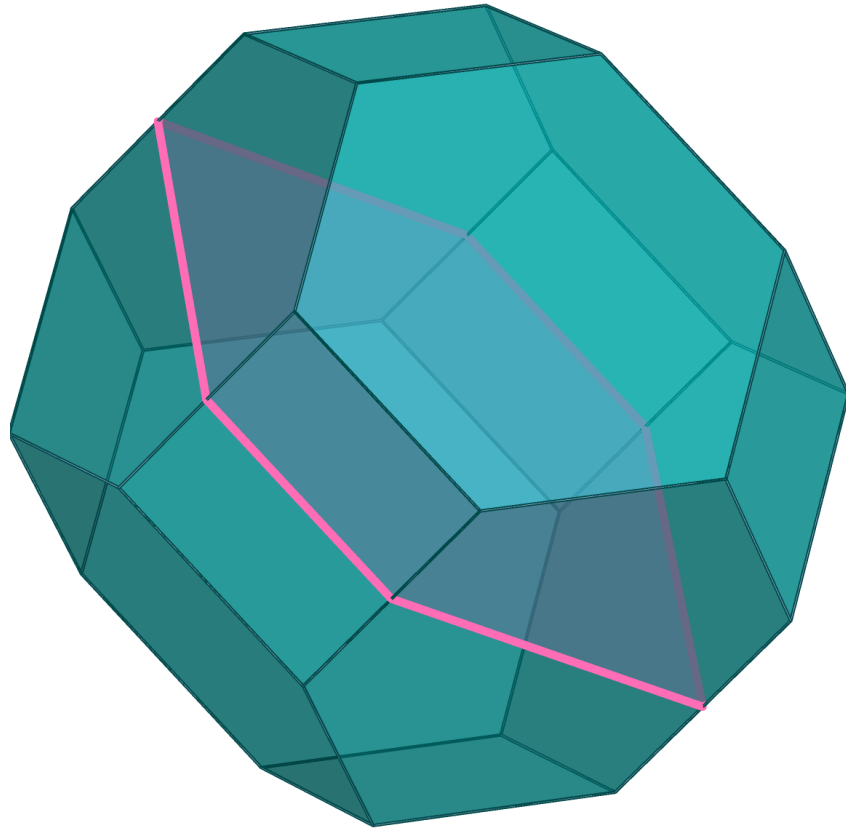
$$\begin{aligned} P &= \text{conv}((\sigma(1), \sigma(2), \sigma(3), \sigma(4)) \mid \sigma \in S_4) \\ &= \text{conv}((1,2,3,4), (1,2,4,3), \dots, (4,3,2,1)) \end{aligned}$$

SLICES OF THE PERMUTOHEDRON



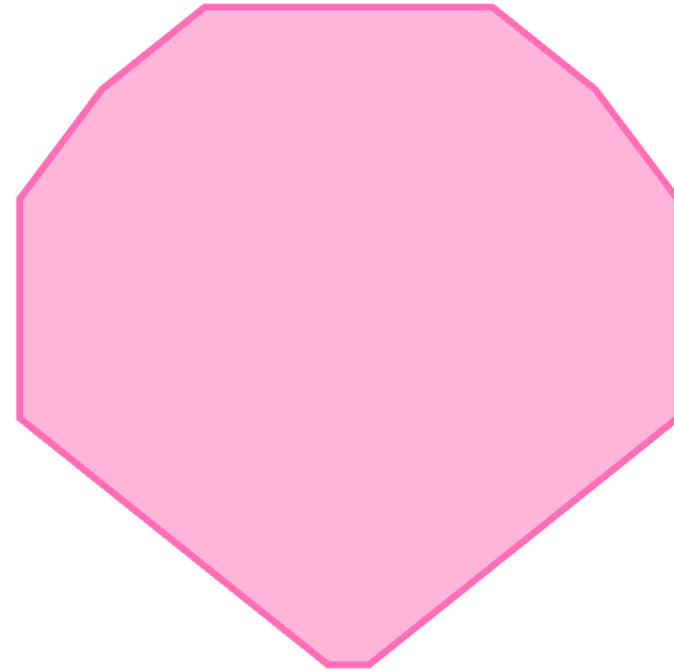
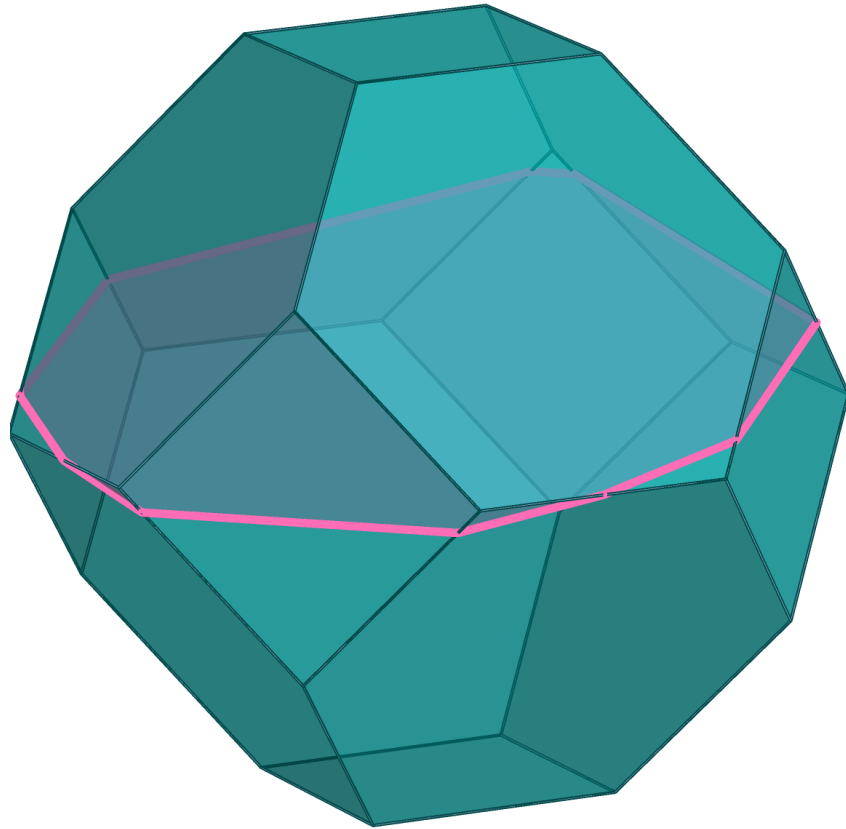
Affine slice of maximum volume

SLICES OF THE PERMUTOHEDRON



Central slice of minimum volume

SLICES OF THE PERMUTOHEDRON



Affine slice with maximum number of vertices

**WHY DO WE WANT TO COMPUTE
(EXTREMAL) SLICES OF POLYTOPES?**



MOTIVATION

- **Maximal volume slice:** What is the slice of P with maximal volume?
[Ball '89, Meyer-Pajor '88, Pournin '22, Webb '96, ...]



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- **Slices of the permutahedron** fixed under a certain group action
[Ardila-Schindler-(Vindas-Meléndez) '21, ...]

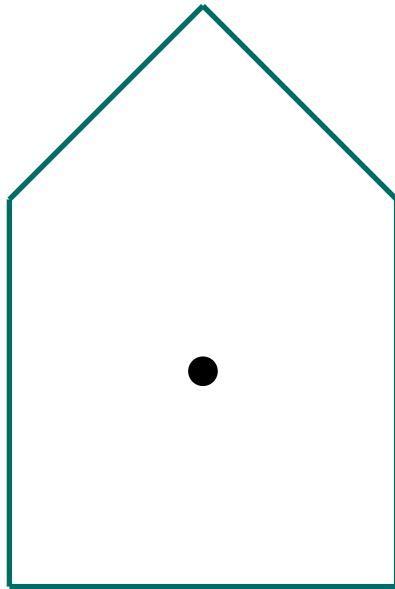


**HOW CAN WE COMPUTE THESE
“EXTREMAL” SLICES?**

2 APPROACHES

ROTATIONAL APPROACH

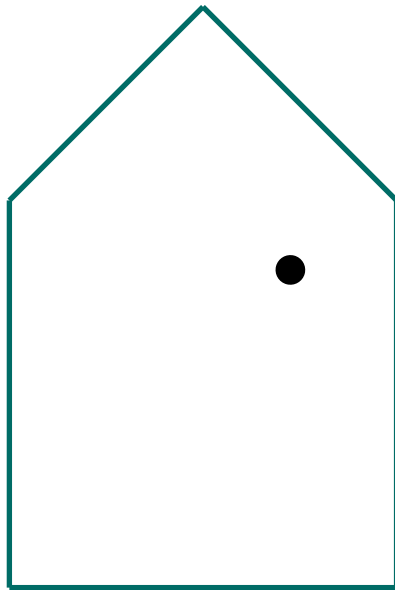
1. Choose a position of the origin



2 APPROACHES

ROTATIONAL APPROACH

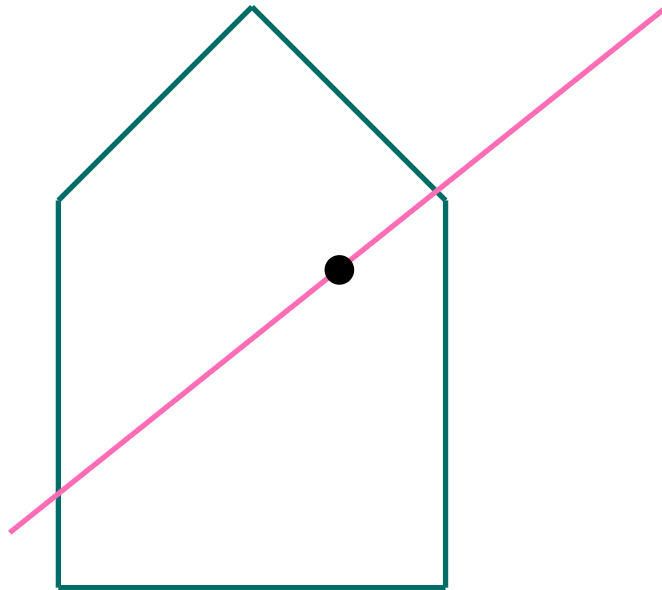
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2 APPROACHES

ROTATIONAL APPROACH

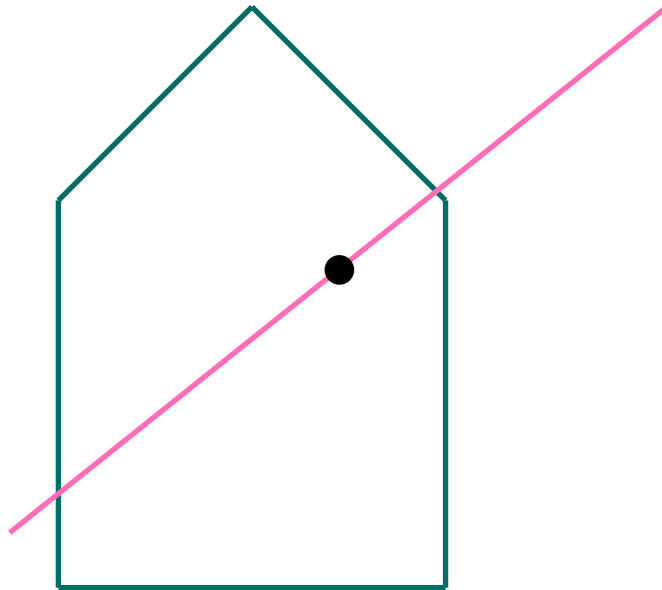
1. Choose a position of the origin
2. Consider all hyperplanes through the origin



2 APPROACHES

ROTATIONAL APPROACH

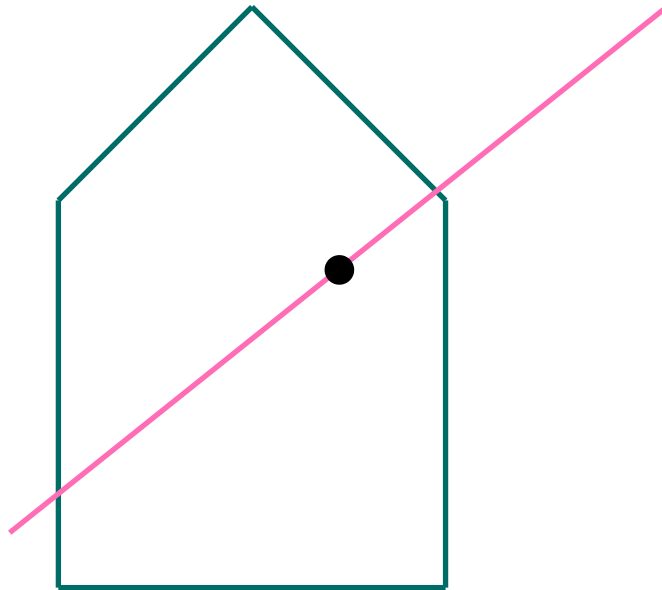
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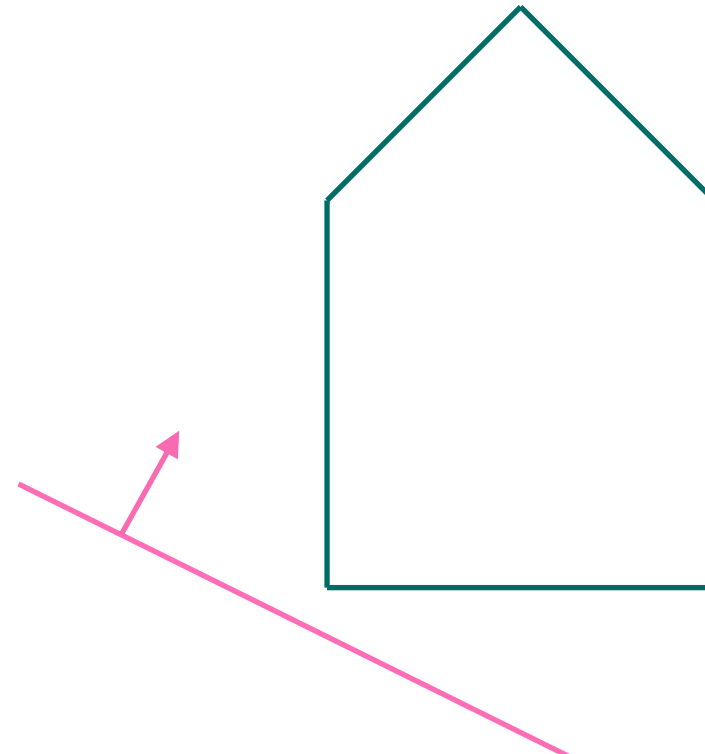
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TRANSLATIONAL APPROACH

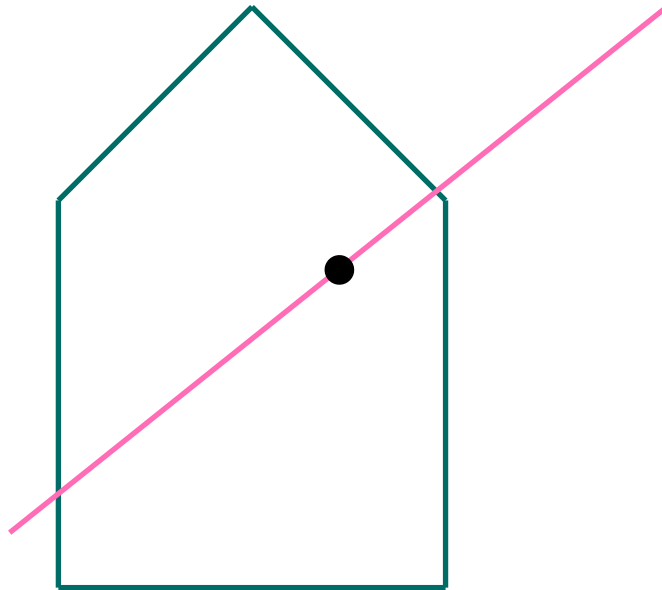
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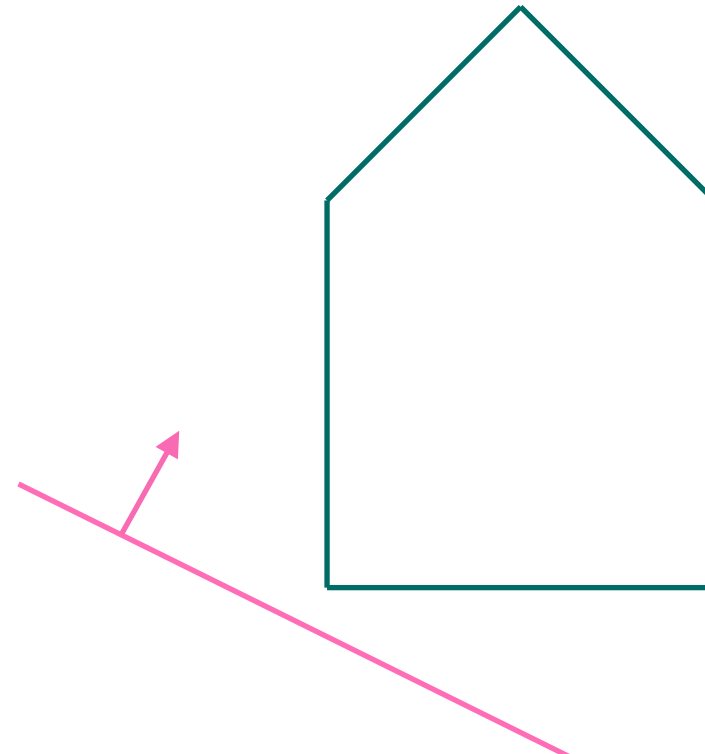
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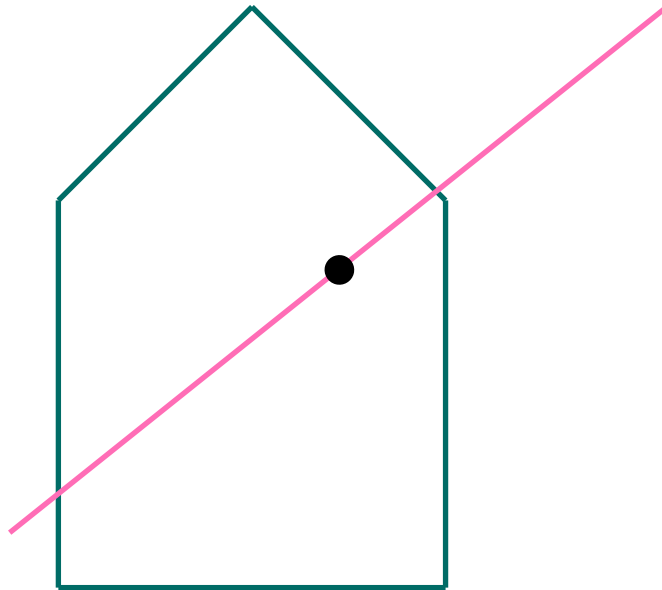
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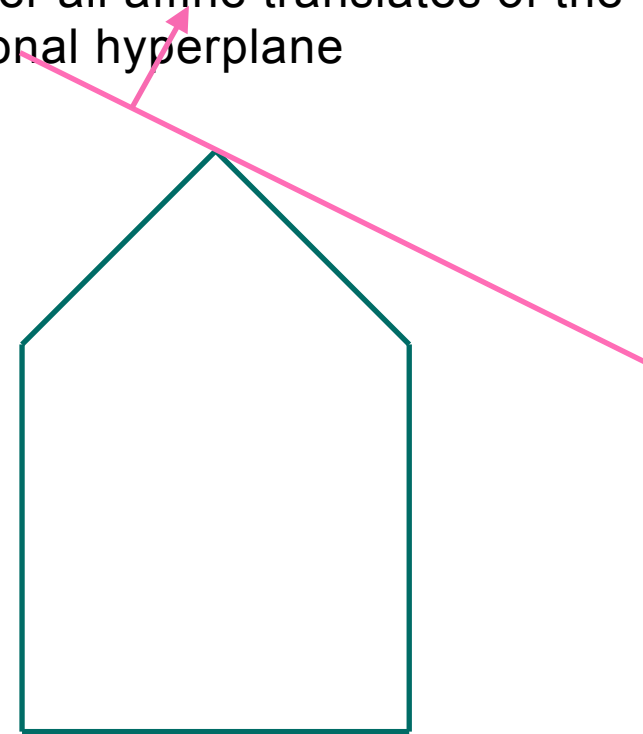
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KEY OBSERVATIONS:

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MAIN IDEA FOR BOTH APPROACHES:

Collect all hyperplanes which intersect P in the same set of edges

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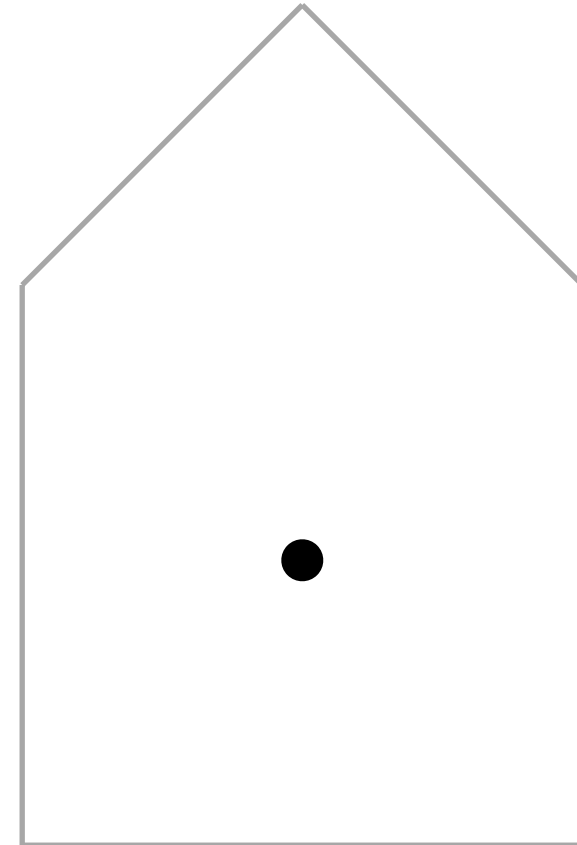
	Hyperplane Arrangement	Notation	Reference Object
\circlearrowleft	central arrangement cocircuit arrangement	$\mathcal{C}_{\circlearrowleft}$ $\mathcal{R}_{\circlearrowleft}$	intersection body oriented matroid
\uparrow	parallel arrangement sweep arrangement	\mathcal{C}_{\uparrow}^u \mathcal{R}_{\uparrow}	fiber polytope sweep polytope

ROTATIONAL APPROACH

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Fix the position of the origin.

u^\perp = central hyperplane orthogonal to u



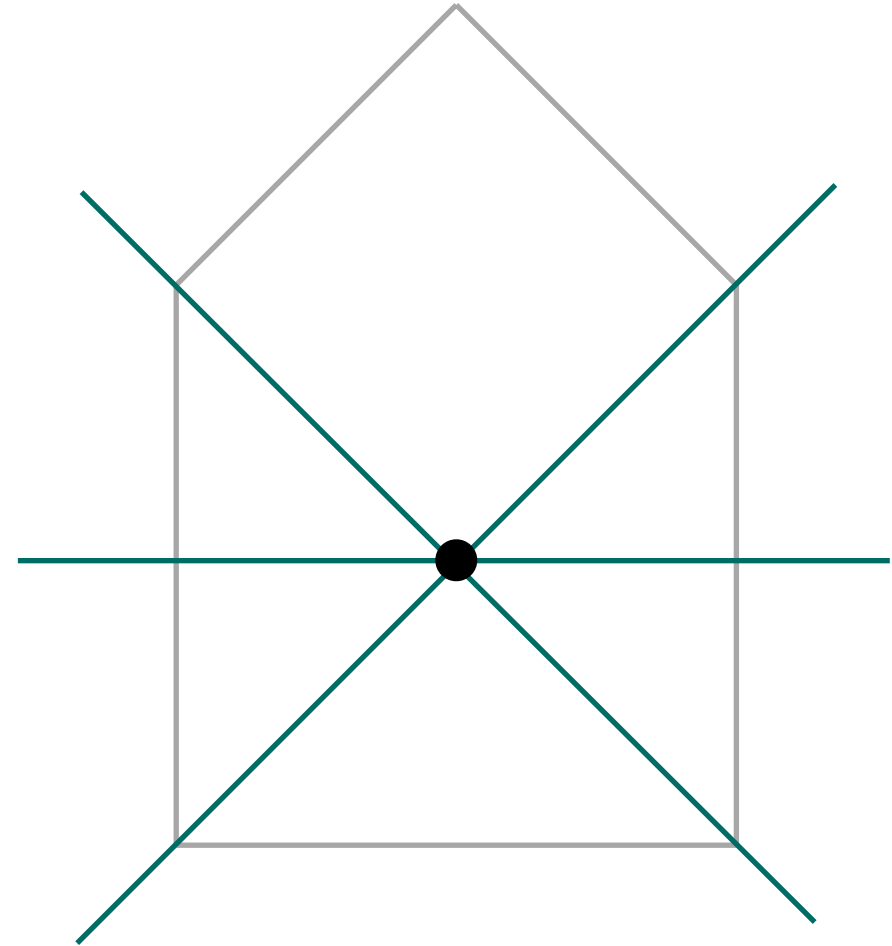
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Consider the **central hyperplane arrangement**

$$\mathcal{C}_\mathcal{O}(P) = \{v^\perp \mid v \text{ is a vertex of } P\}.$$



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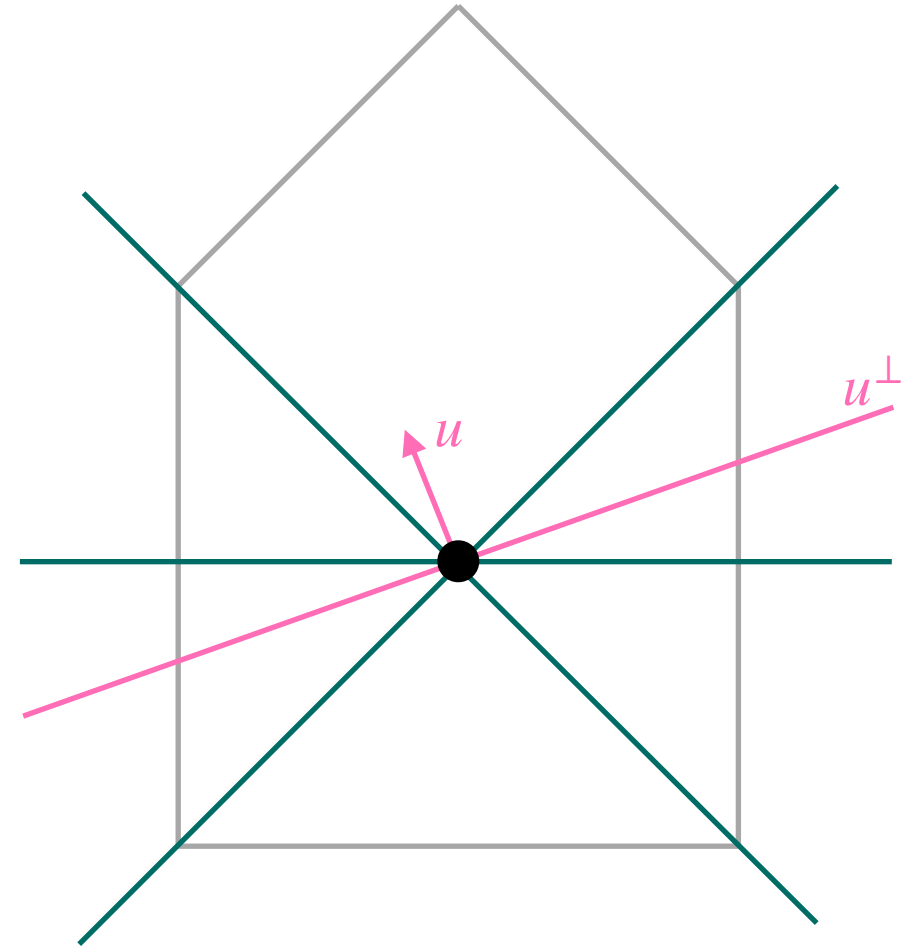
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→ The combinatorial type of $P \cap u^\perp$ is constant in each cell of $\mathcal{C}_\mathcal{U}(P)$.

We refer to the maximal cells of $\mathcal{C}_\mathcal{U}(P)$ as **chambers**.



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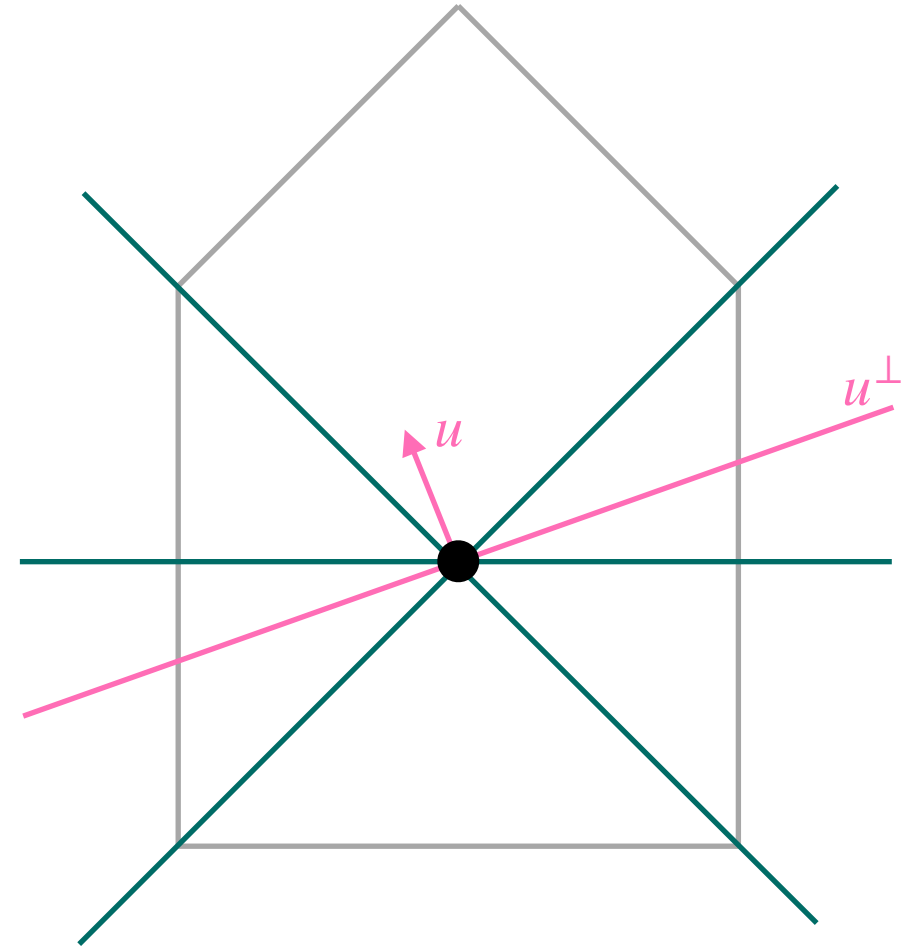
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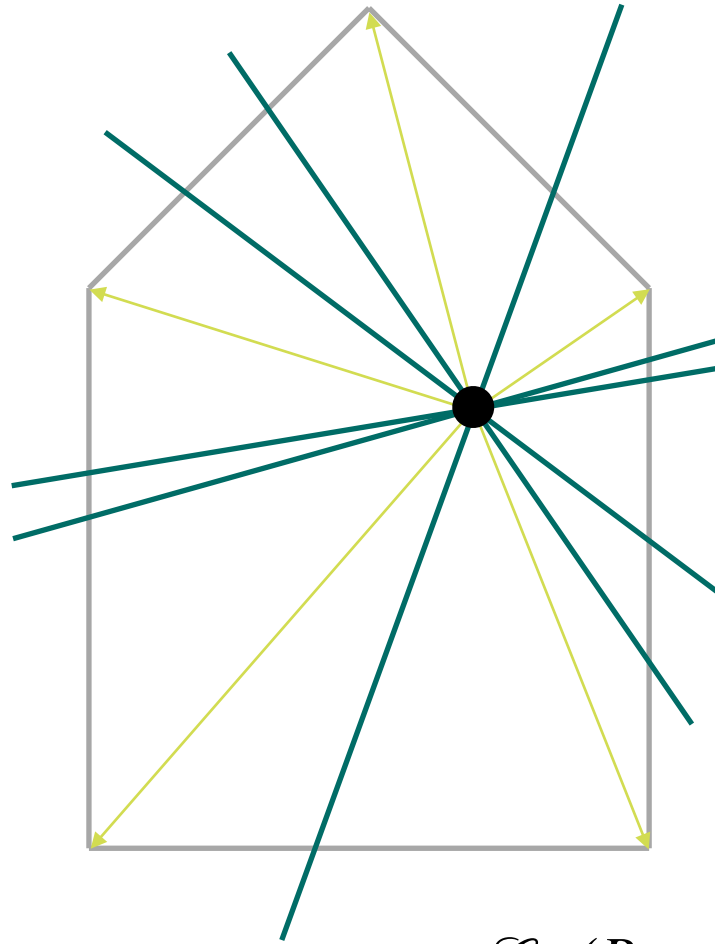
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What happens if we translate P , i.e. vary the position of the origin?

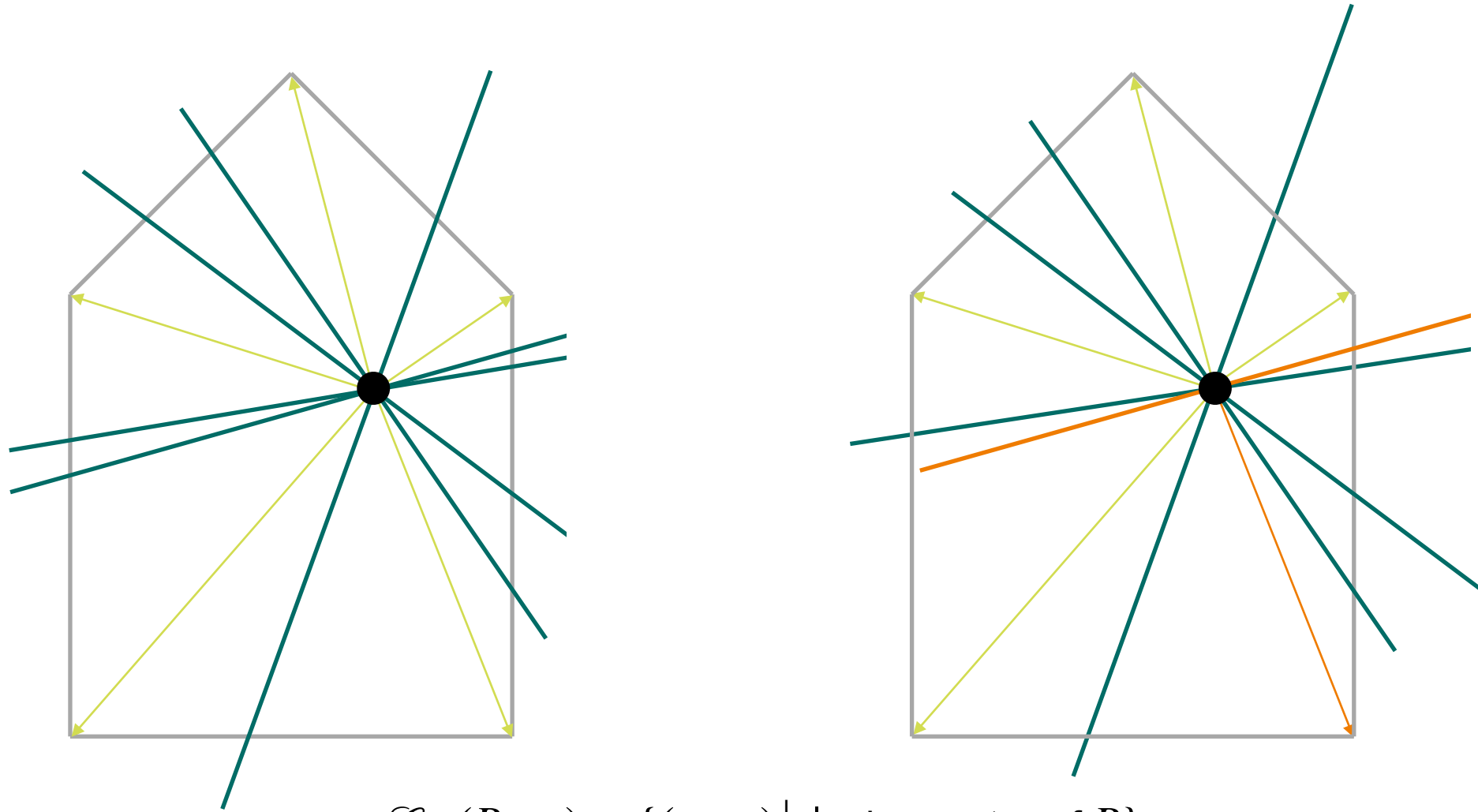


ROTATIONAL APPROACH



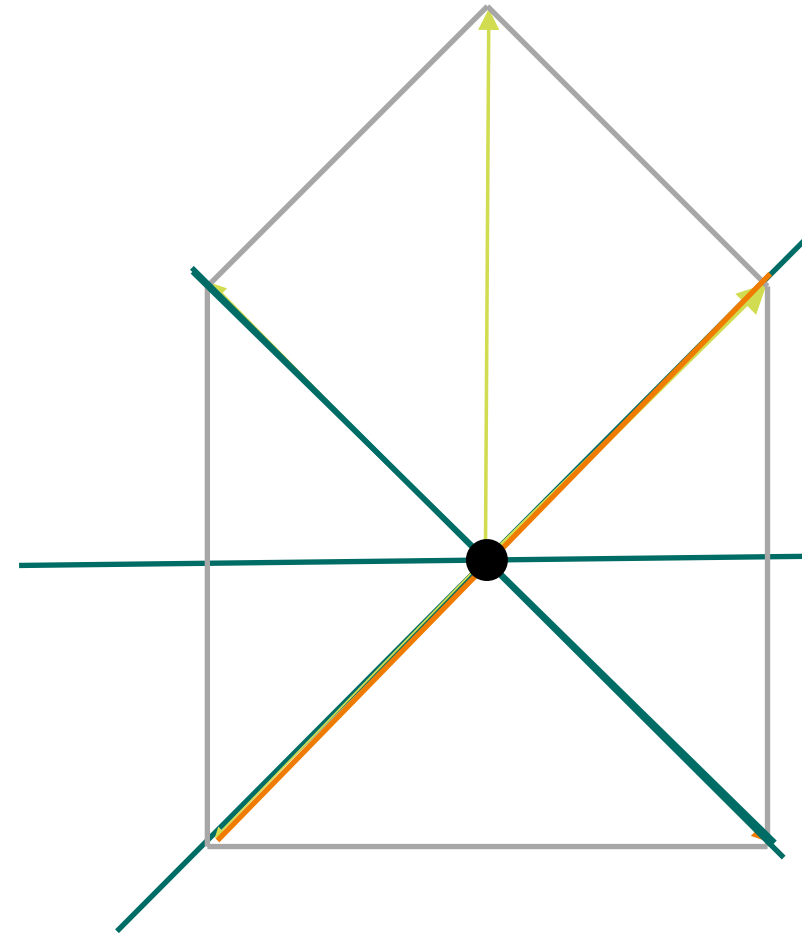
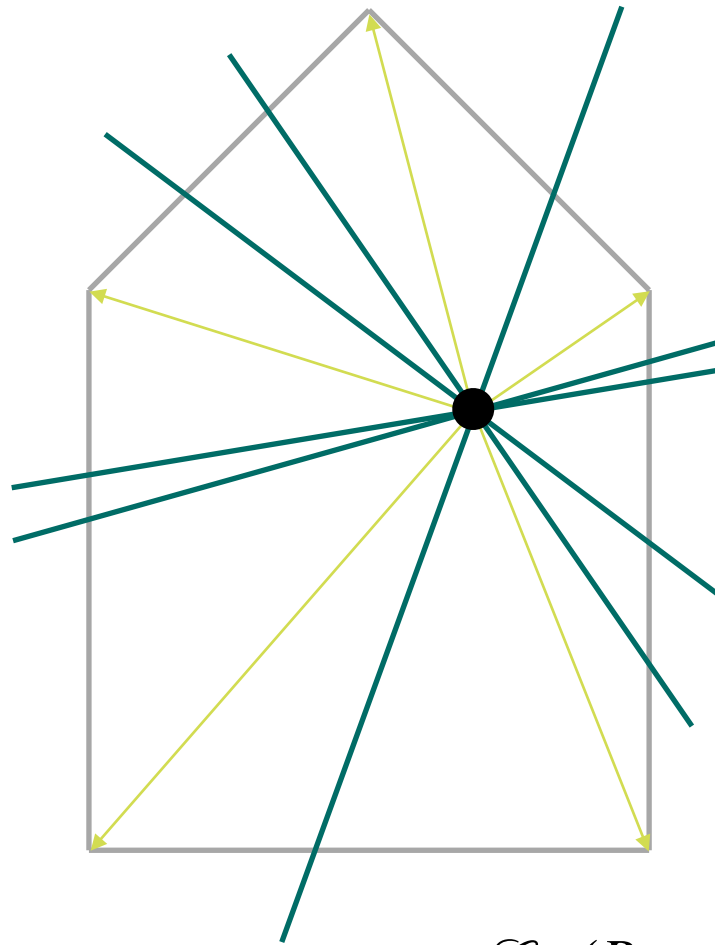
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Translation $P + t \longleftrightarrow$ rotation of hyperplanes $(v + t)^\perp$ in central arrangement $\mathcal{C}_{\mathcal{U}}(P + t)$



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Translation $P + t \iff$ rotation of hyperplanes $(v + t)^\perp$ in central arrangement $\mathcal{C}_{\mathcal{G}}(P + t)$

*For which $t \in \mathbb{R}^d$ does $\mathcal{C}_{\mathcal{G}}(P + t)$ have the same combinatorics?
(i.e. the same oriented matroid)*



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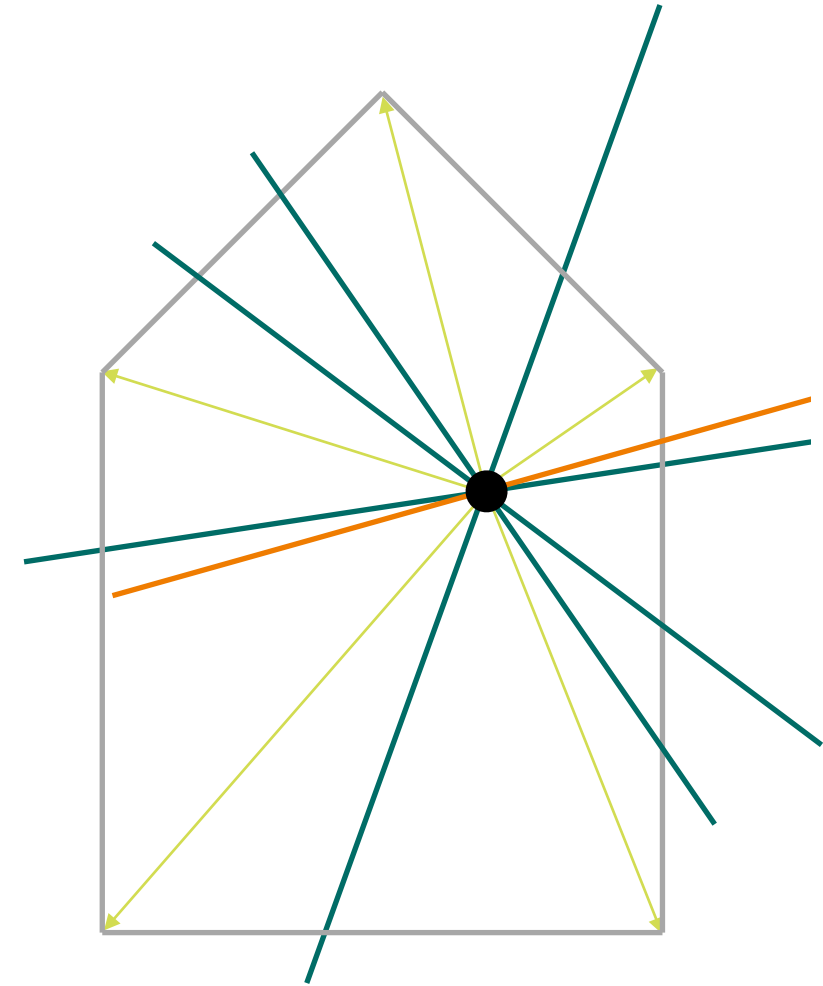
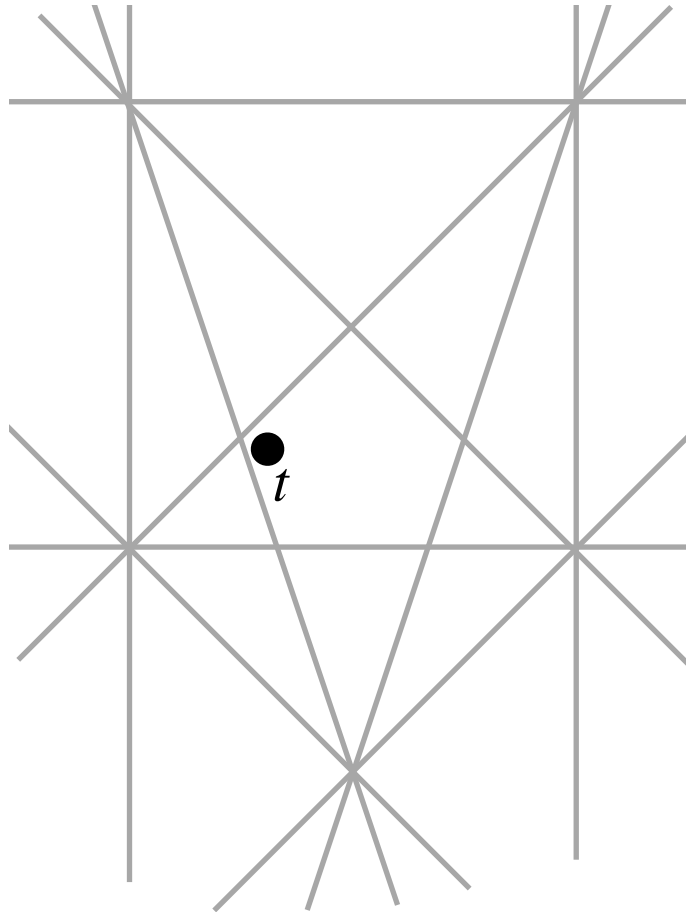
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Consider the **affine hyperplane arrangement** (called **cocircuit arrangement**)

$$\mathcal{R}_\mathcal{G}(P) = \{\text{aff}(-v_1, \dots, -v_d) \mid v_k \text{ are vertices of } P\}$$

\longrightarrow with each region of $\mathcal{R}_\mathcal{G}(P)$ the combinatorics of $\mathcal{C}_\mathcal{G}(P + t)$ are fixed

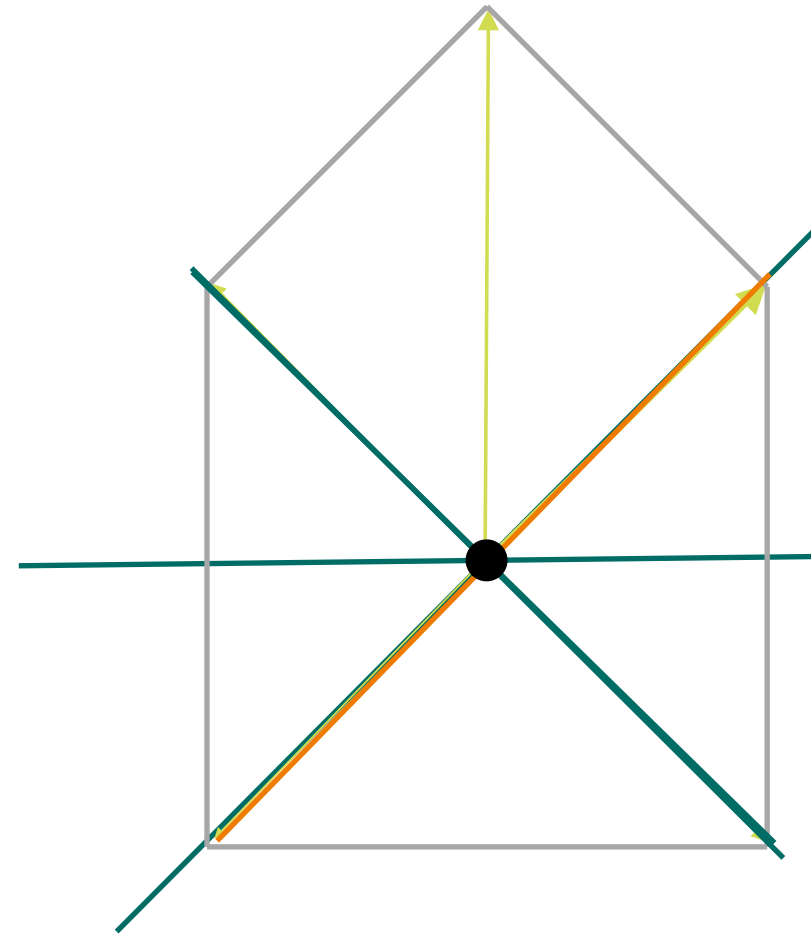
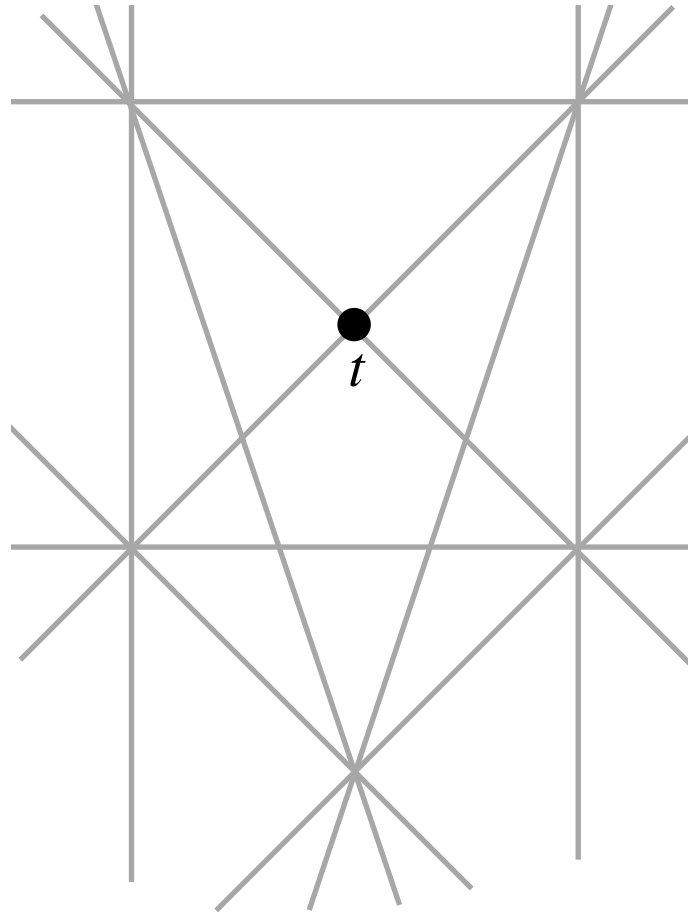
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THEOREM (B.-MERONI-DE LOERA '23):

Let $P \subseteq \mathbb{R}^d$ be a polytope, and $f(x) = \sum_{\alpha} c_{\alpha} x^{\alpha}$ be a polynomial in variables x_1, \dots, x_d .



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Fix a region $R \in \mathcal{R}_{\mathcal{C}}(P)$ of the cocircuit arrangement, a translation $t \in R$ and a chamber $C(t) \in \mathcal{C}_{\mathcal{C}}(P + t)$ of the central arrangement.



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Restricted to $t \in R$ and $u \in C(t) \cap S^{d-1}$, the integral

$$\int_{(P+t) \cap u^{\perp}} f(x) \, dx$$

is a **rational function** in variables $t_1, \dots, t_d, u_1, \dots, u_d$.



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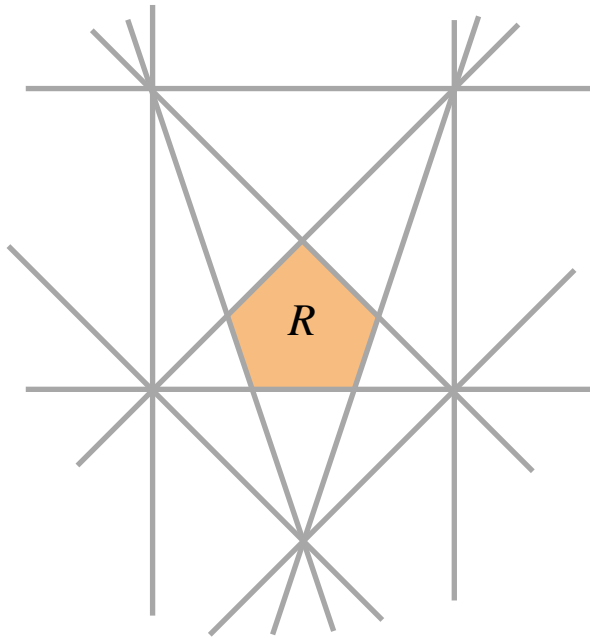
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NOTE:

If $f(x) = 1$ then $\int_{(P+t) \cap u^{\perp}} f(x) \, dx = \text{vol}((P + t) \cap u^{\perp})$.

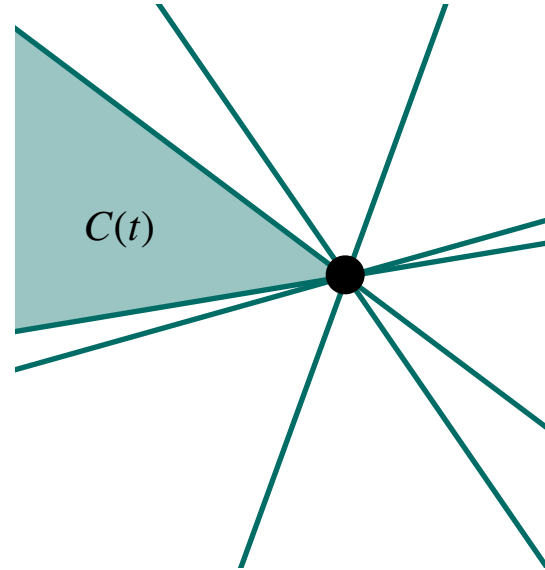
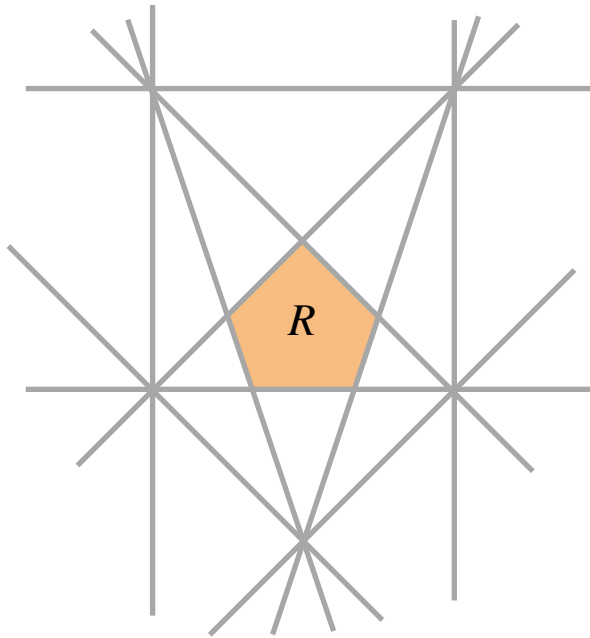
ROTATIONAL APPROACH



$$(t_1, t_2) \in R \iff$$

$$\begin{aligned} -t_1 - t_2 &\geq 0, & t_1 - t_2 &\geq 0 \\ -3t_1 + t_2 &\geq -2, & 3t_1 + t_2 &\geq -2 \\ & & t_2 &\geq -1 \end{aligned}$$

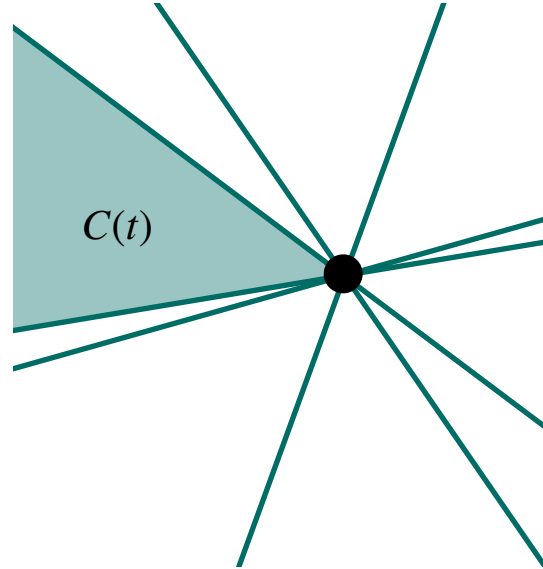
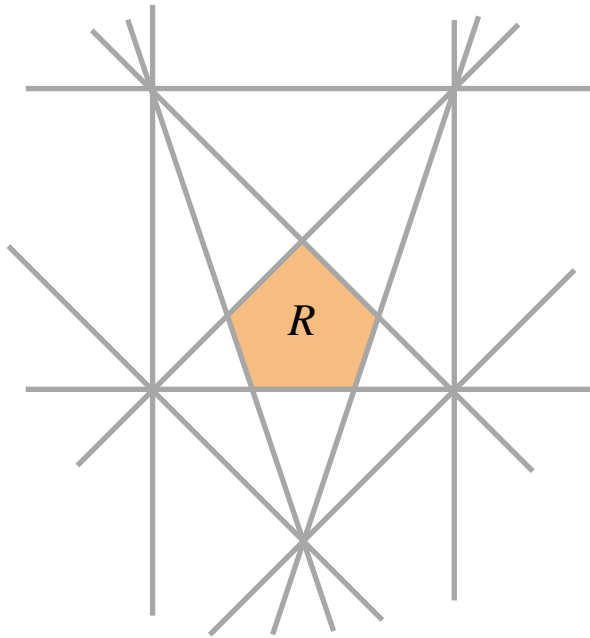
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$$\begin{aligned}
 \text{If } (t_1, t_2) \in R \text{ then } (u_1, u_2) \in C(t) &\iff \\
 2u_2 + t_1u_1 + t_2u_2 &\geq 0 \\
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If $t \in R$ and $u \in C(t) \cap S^{d-1}$ then

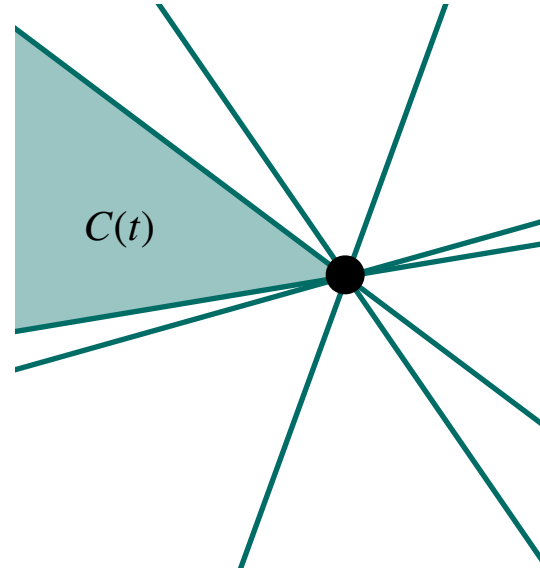
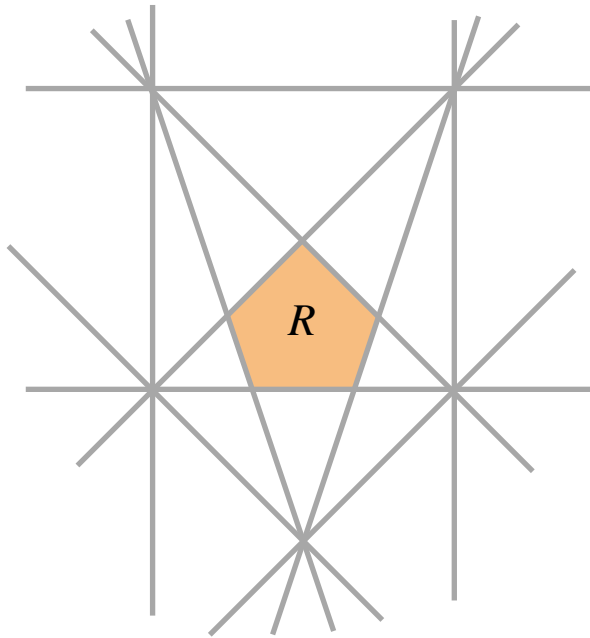
$$\text{vol}((P + t) \cap u^\perp) = \int_{(P+t) \cap u^\perp} 1 dx = \frac{-(t_1u_1 + t_2u_2 + 3u_1 - u_2)}{u_1(u_1 - u_2)}$$



ROTATIONAL APPROACH



Let the computer find the biggest slice:



$$\text{maximize } \frac{-(t_1 u_1 + t_2 u_2 + u_1 - u_2)}{u_1(u_1 - u_2)}$$

$$\text{s.t } (t_1, t_2) \in R$$

$$(u_1, u_2) \in C(t) \cap S^{d-1}$$

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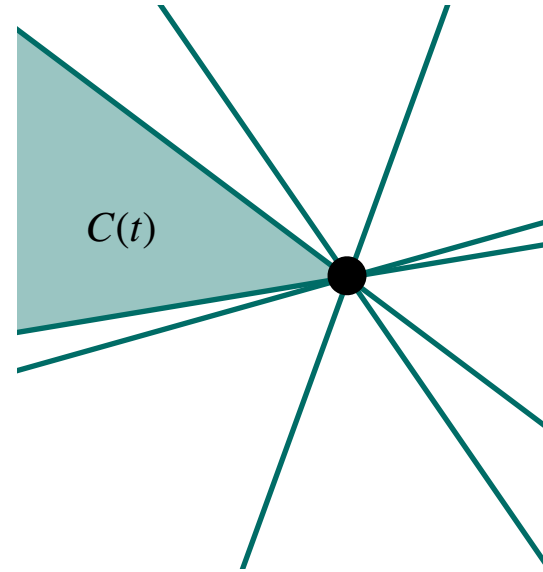
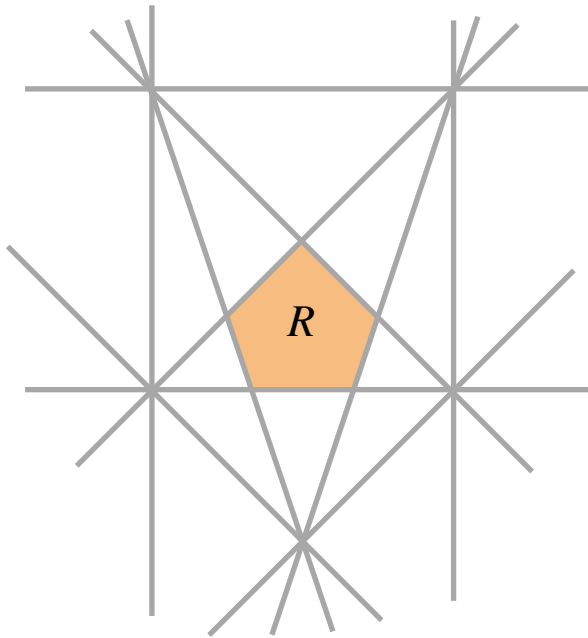
$$\text{vol}((P + t) \cap u^\perp) = \int_{(P+t) \cap u^\perp} 1 dx = \frac{-(t_1 u_1 + t_2 u_2 + 3u_1 - u_2)}{u_1(u_1 - u_2)}$$



Let the computer find the biggest slice:



ROTATIONAL APPROACH



$$(t_1, t_2) \in R \iff \begin{aligned} -t_1 - t_2 &\geq 0, & t_1 - t_2 &\geq 0 \\ -3t_1 + t_2 &\geq -2, & 3t_1 + t_2 &\geq -2 \\ & & t_2 &\geq -1 \end{aligned}$$

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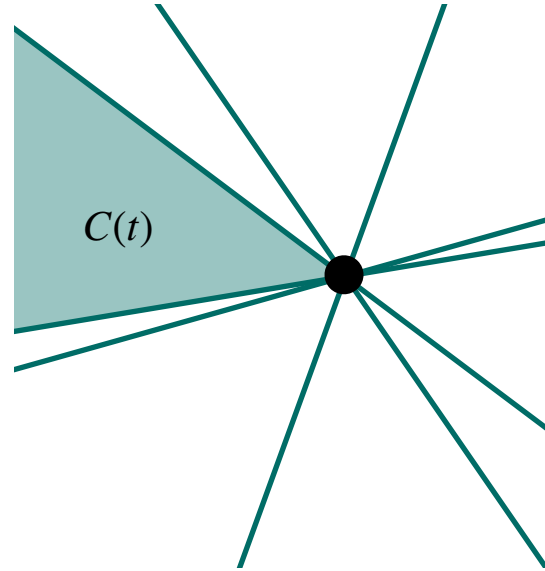
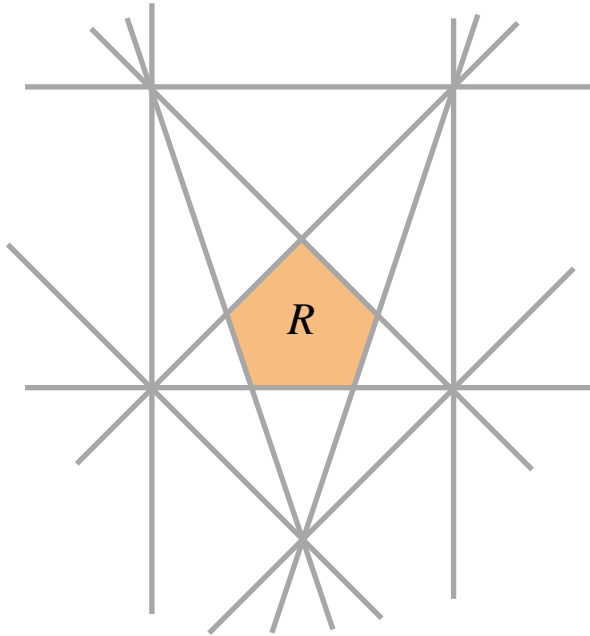
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ROTATIONAL APPROACH



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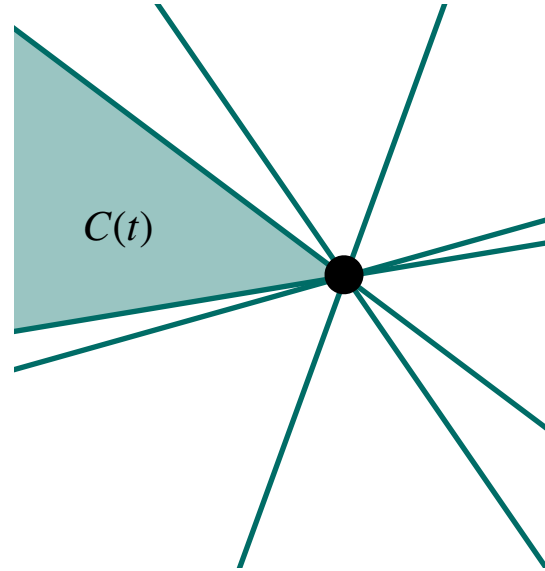
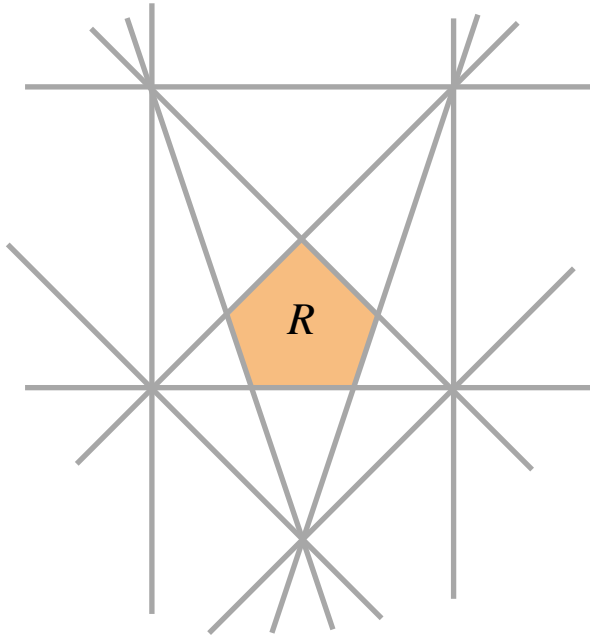
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ROTATIONAL APPROACH



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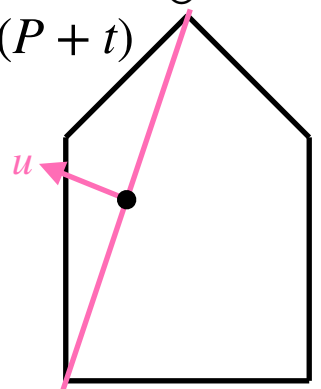
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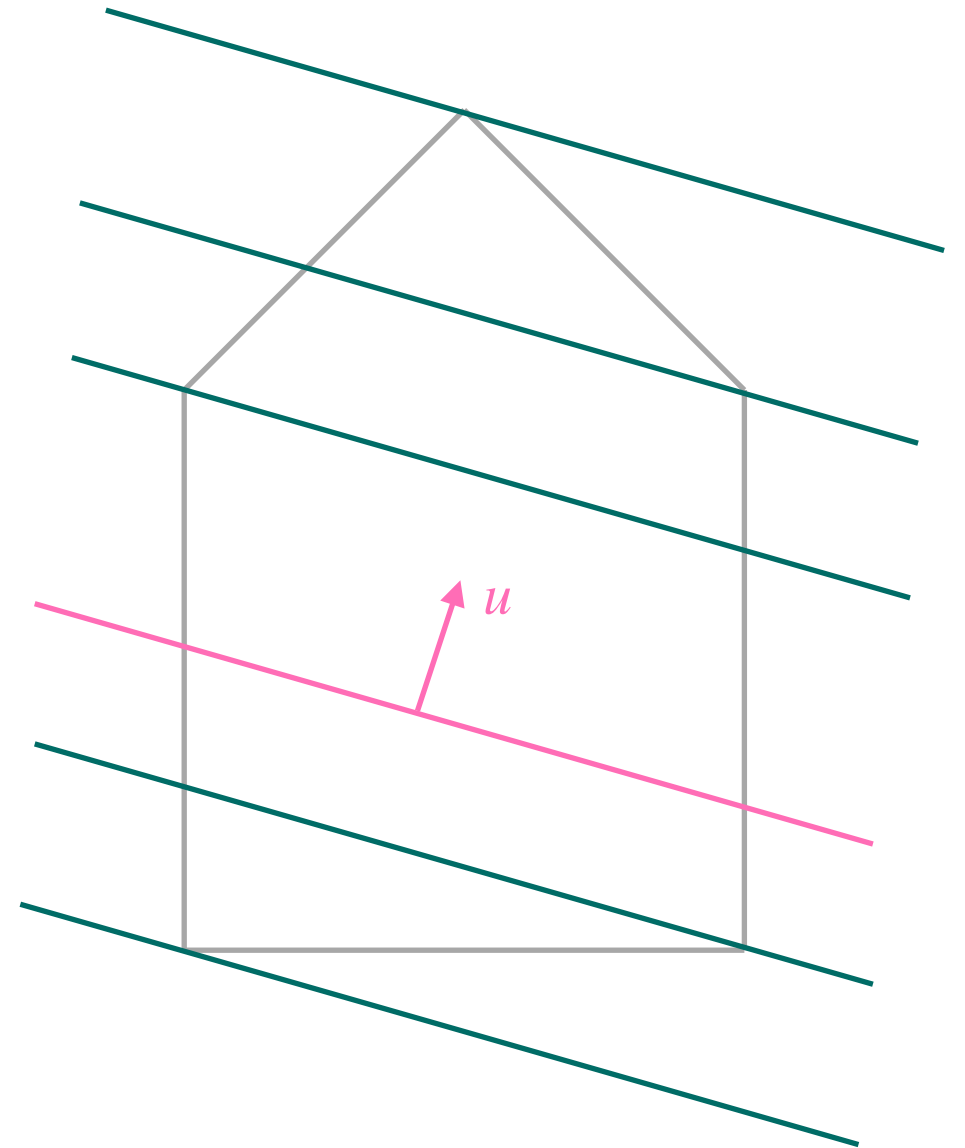
TRANSLATIONAL APPROACH

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Fix a normal direction $u \in S^{d-1}$

$$H(\beta) = \{x \in \mathbb{R}^d \mid \langle x, u \rangle = \beta\}$$

hyperplane parallel to u^\perp



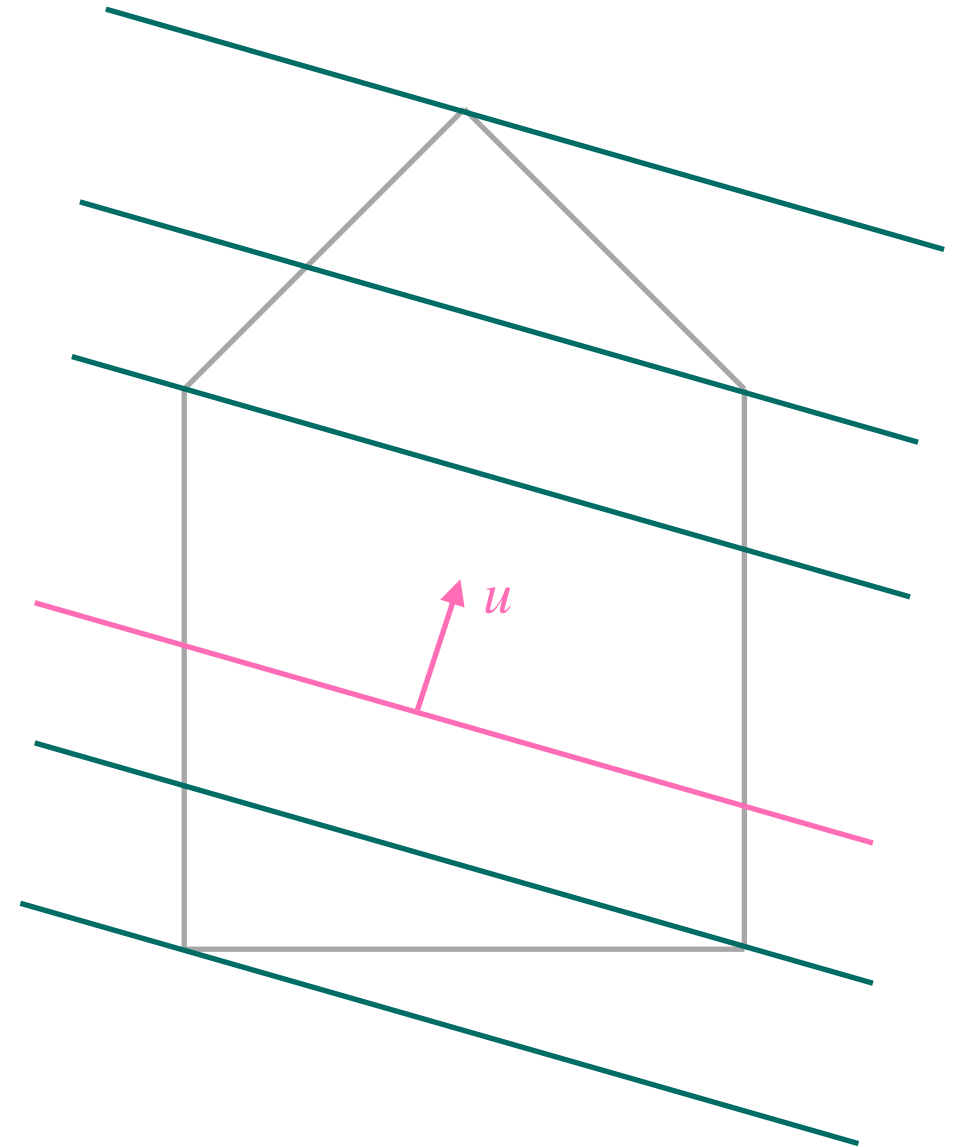
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$$\mathcal{C}_\uparrow^u(P) = \{H(\langle v, u \rangle) \mid v \text{ is a vertex of } P\}.$$



TRANSLATIONAL APPROACH

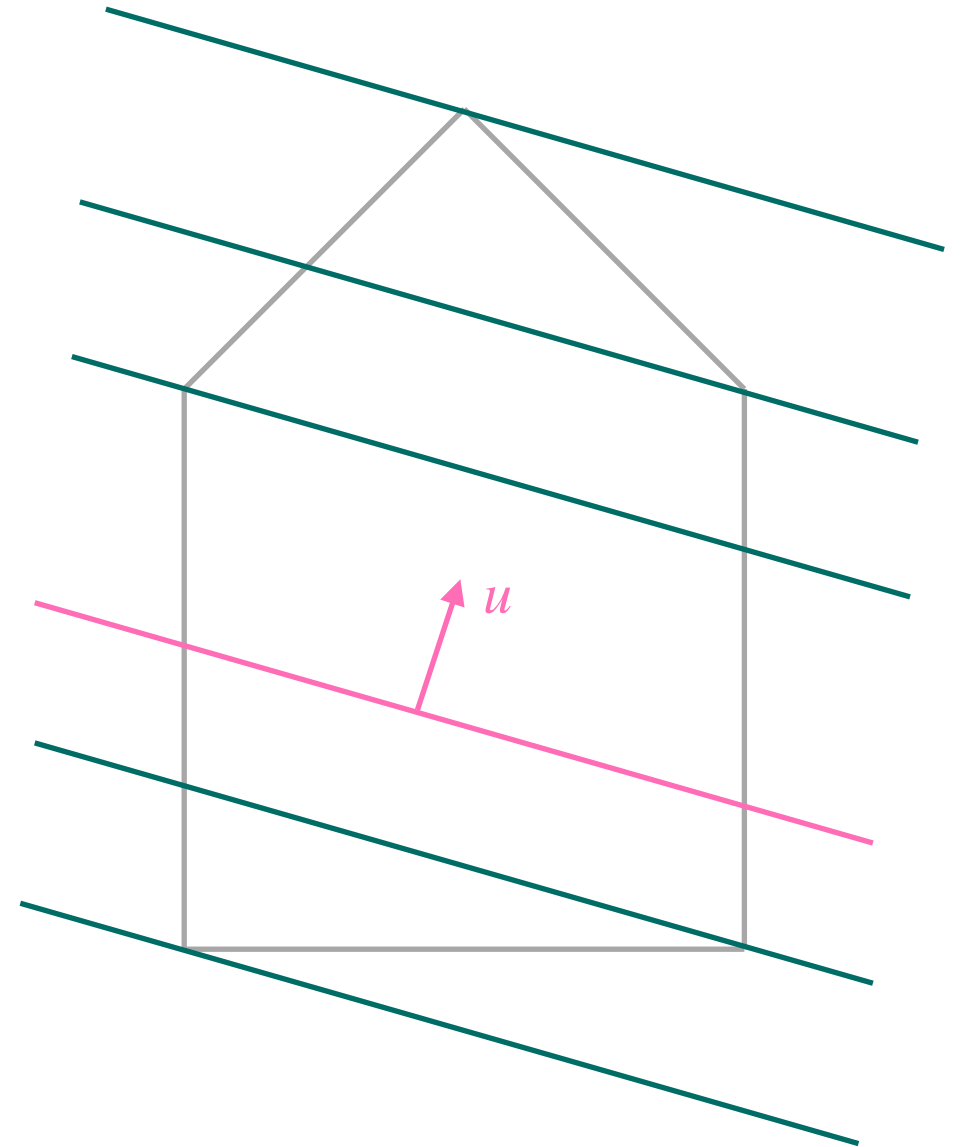
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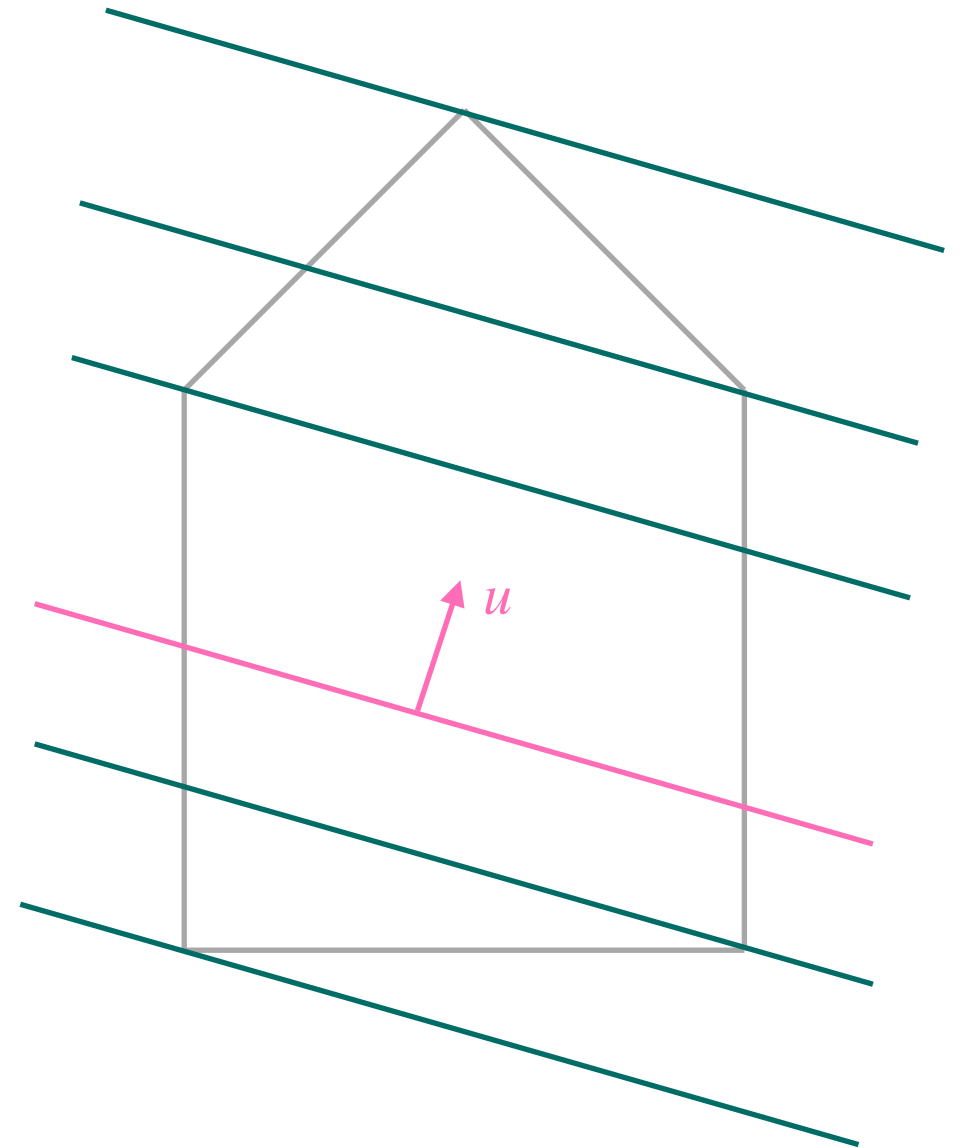
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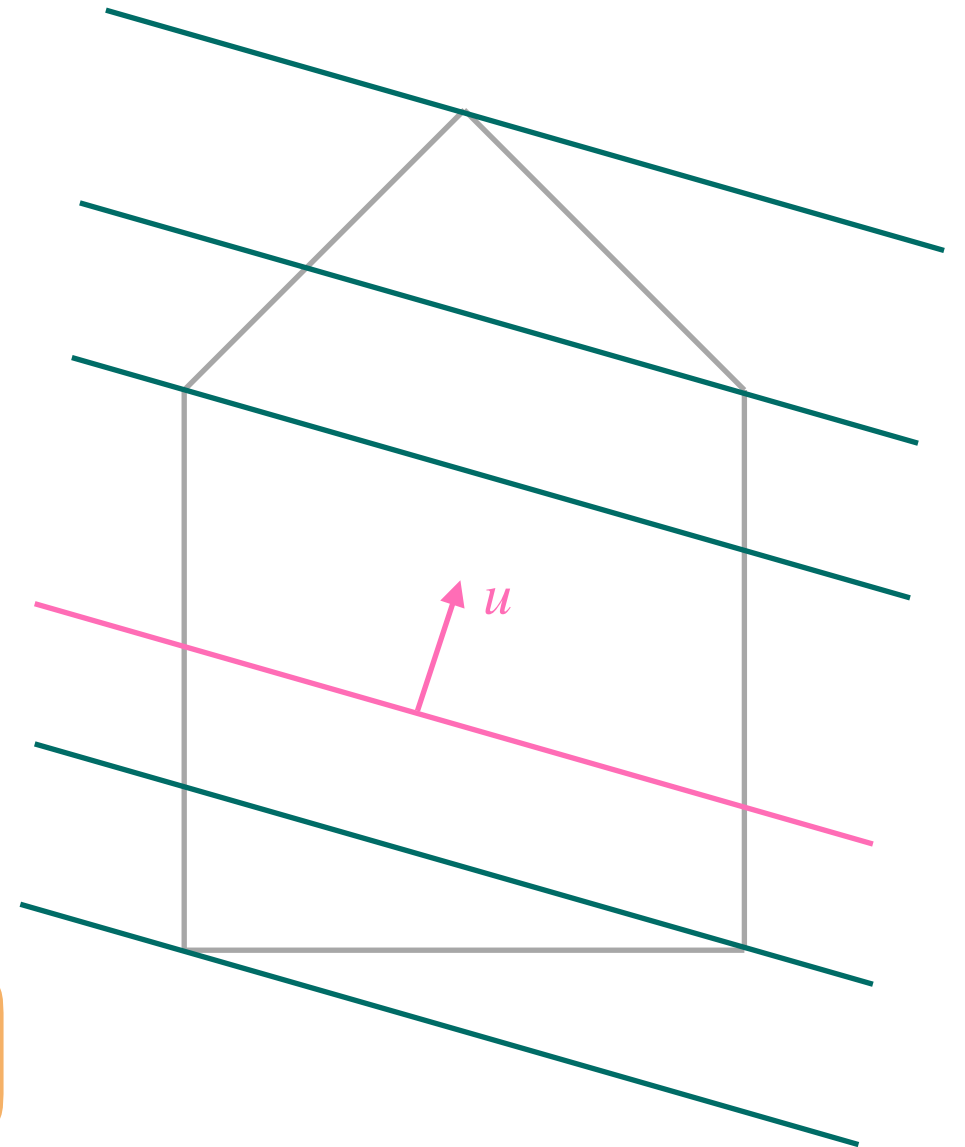
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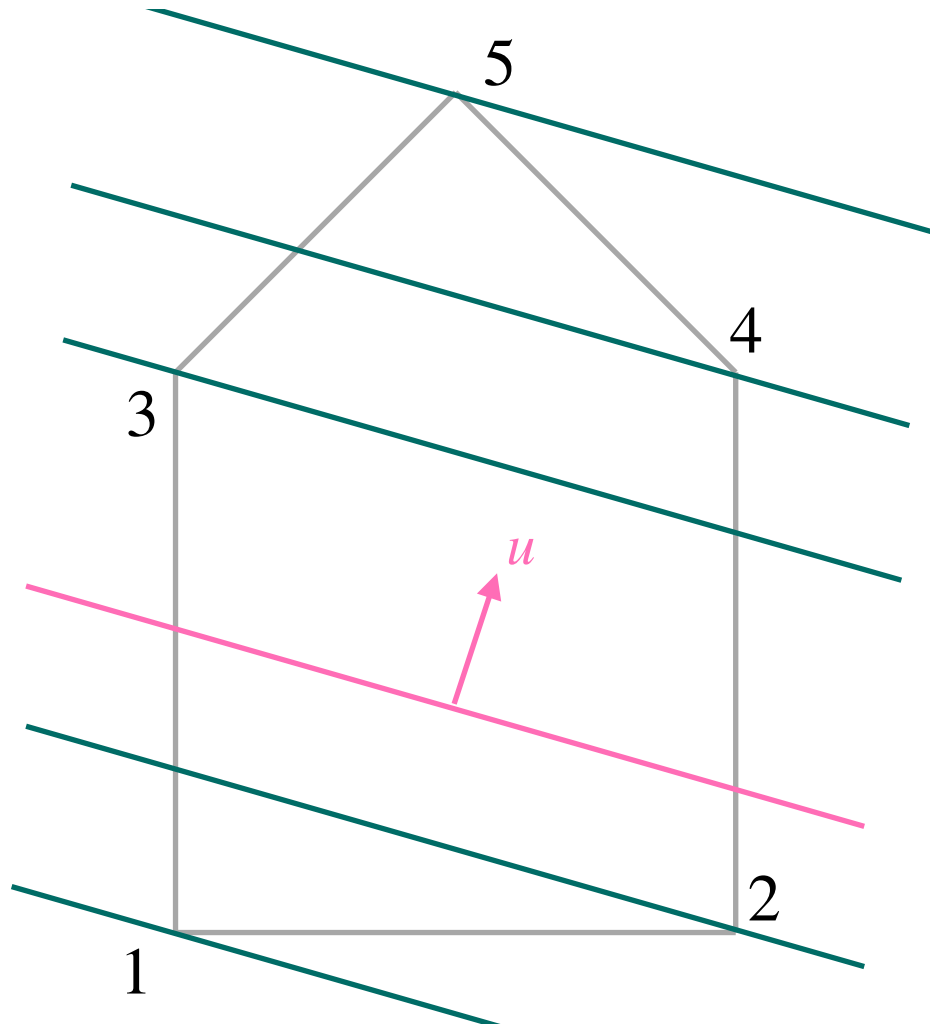
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What happens if we vary the direction $u \in S^{d-1}$?

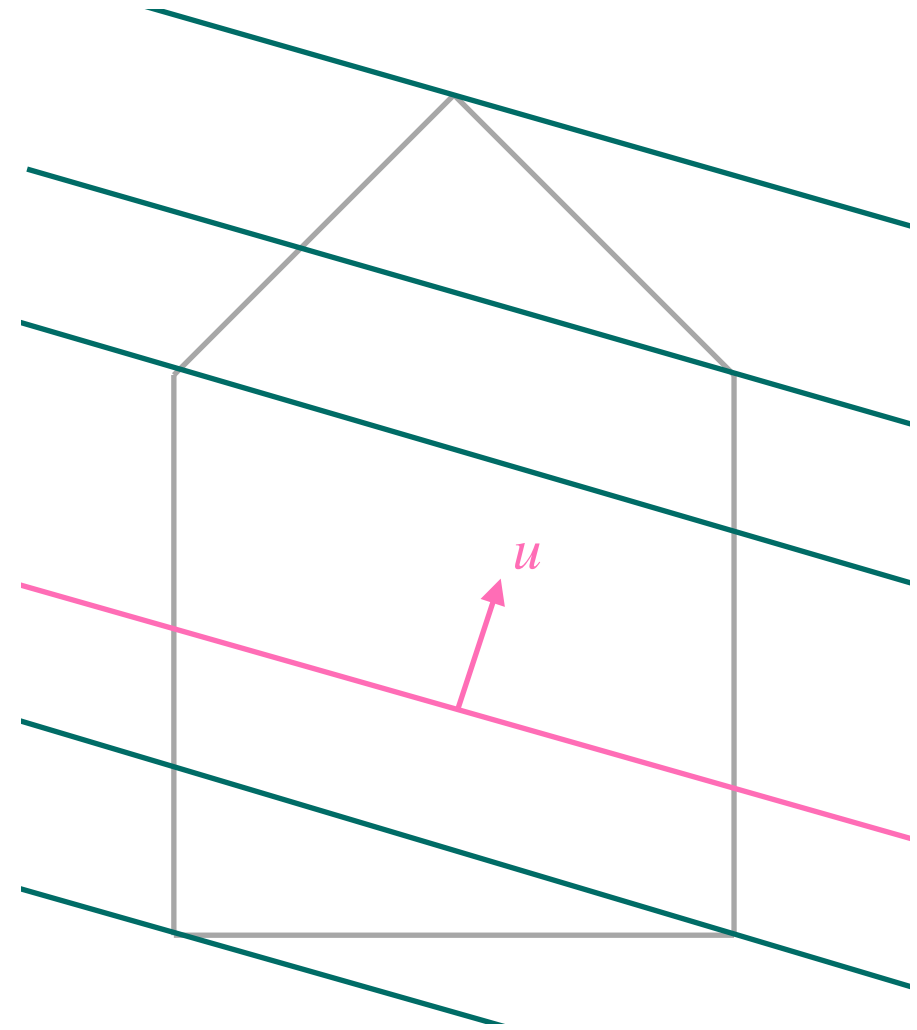
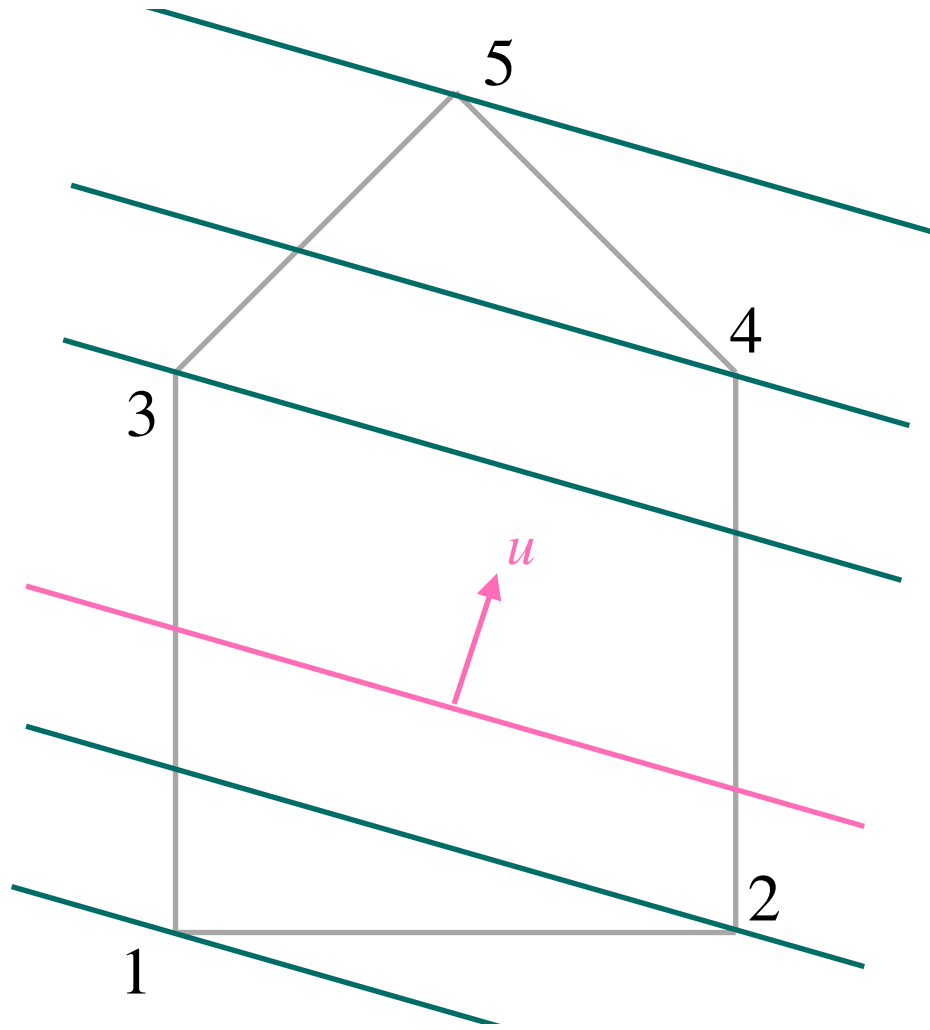


TRANSLATIONAL APPROACH



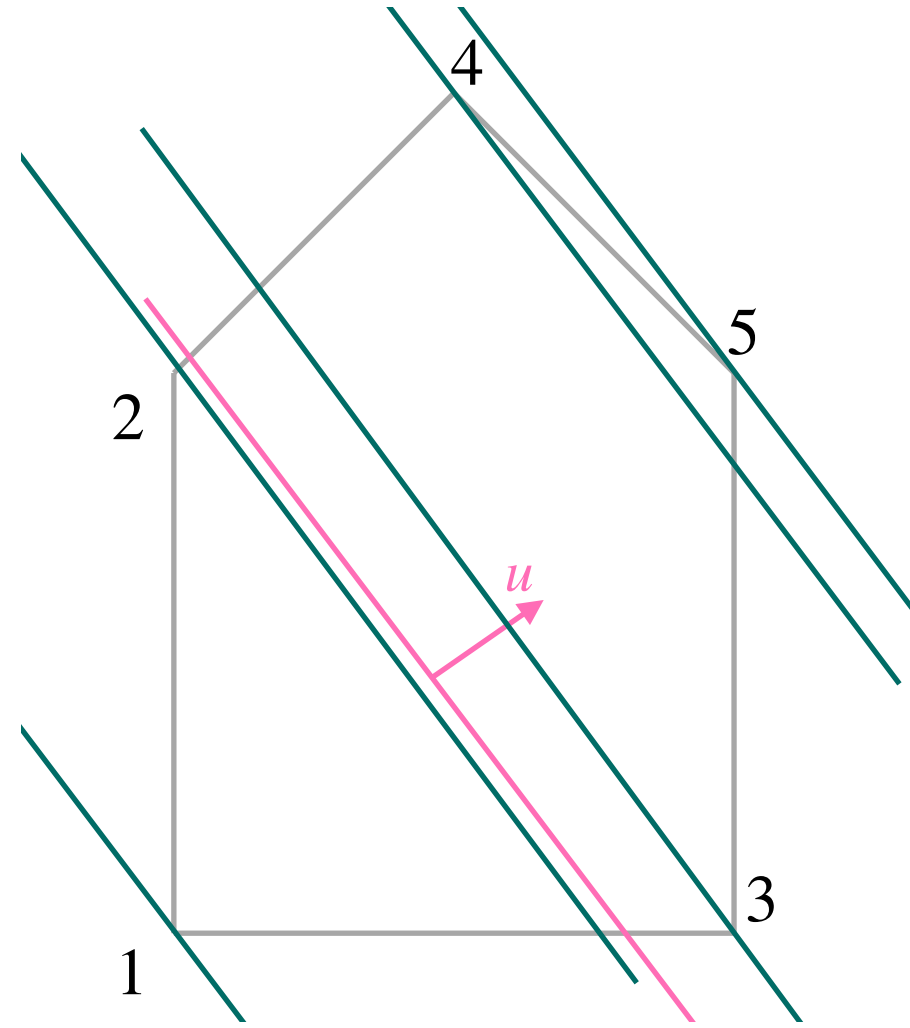
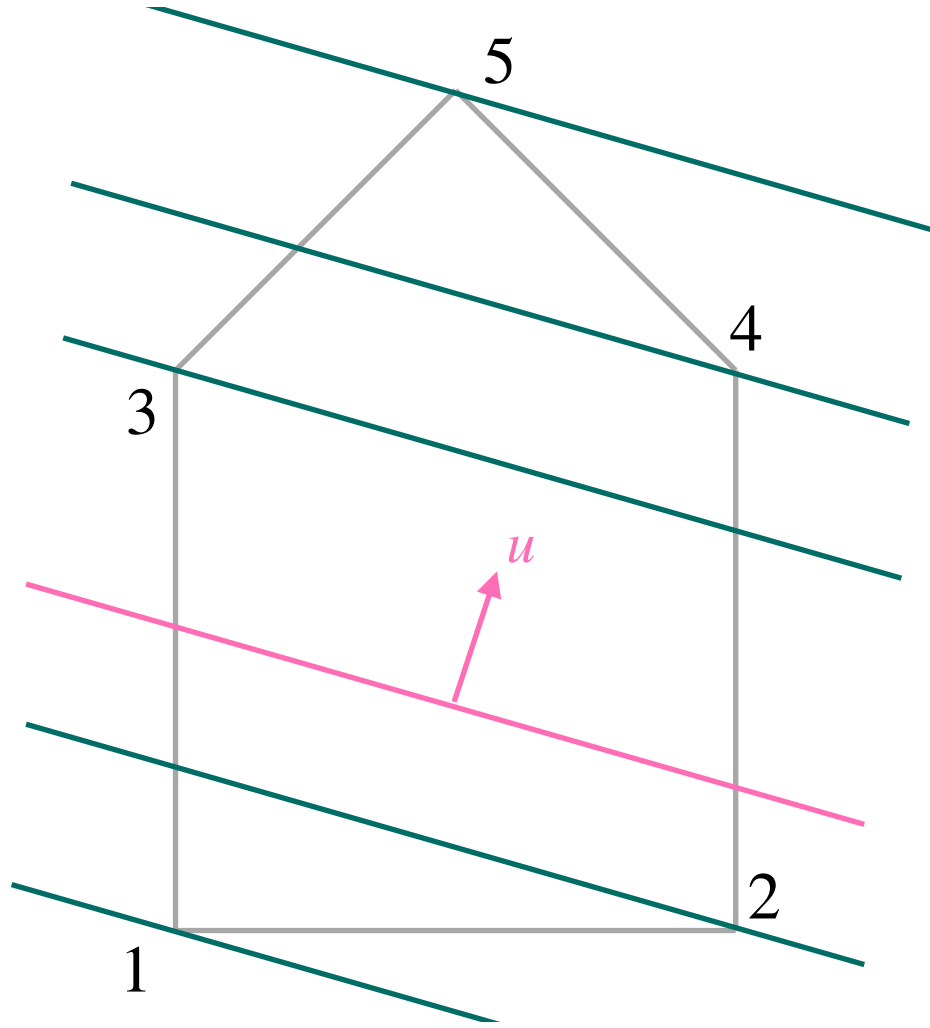
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TRANSLATIONAL APPROACH



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TRANSLATIONAL APPROACH

For which $u \in S^{d-1}$ does $\mathcal{C}_{\uparrow}^u(P)$ induce the same ordering of the vertices?



TRANSLATIONAL APPROACH

For which $u \in S^{d-1}$ does $\mathcal{C}_\uparrow^u(P)$ induce the same ordering of the vertices?

Consider the **central hyperplane arrangement** (called **sweep arrangement**)

$$\mathcal{R}_\uparrow(P) = \{(v_i - v_j)^\perp \mid v_i, v_j \text{ are vertices of } P\}$$



TRANSLATIONAL APPROACH

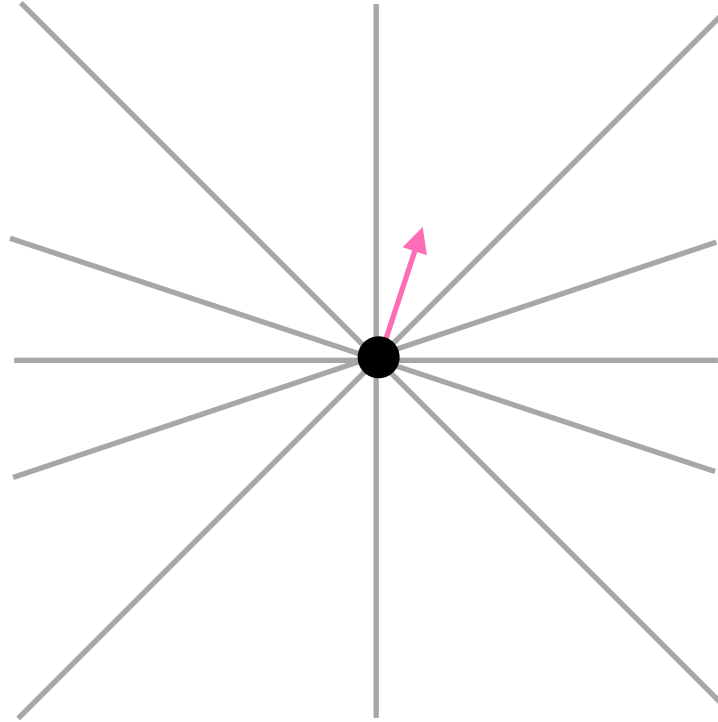
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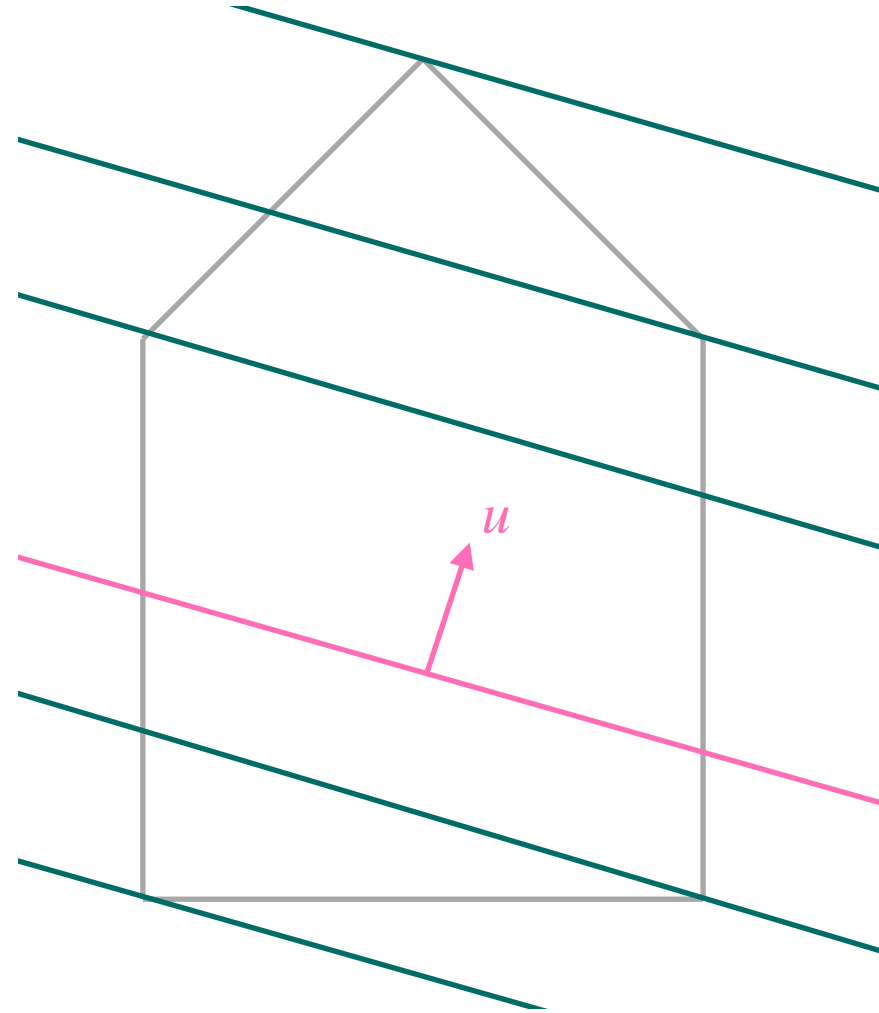
$$\mathcal{R}_{\uparrow}(P) = \{(v_i - v_j)^{\perp} \mid v_i, v_j \text{ are vertices of } P\}$$

→ with each region of $\mathcal{R}_{\uparrow}(P)$ the induced ordering given by $\mathcal{C}_{\uparrow}^u(P)$ is fixed

TRANSLATIONAL APPROACH

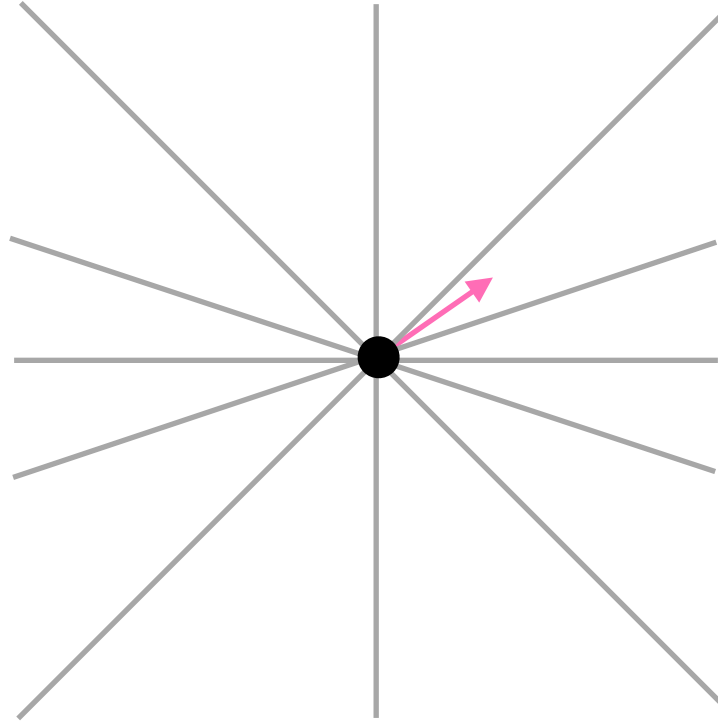


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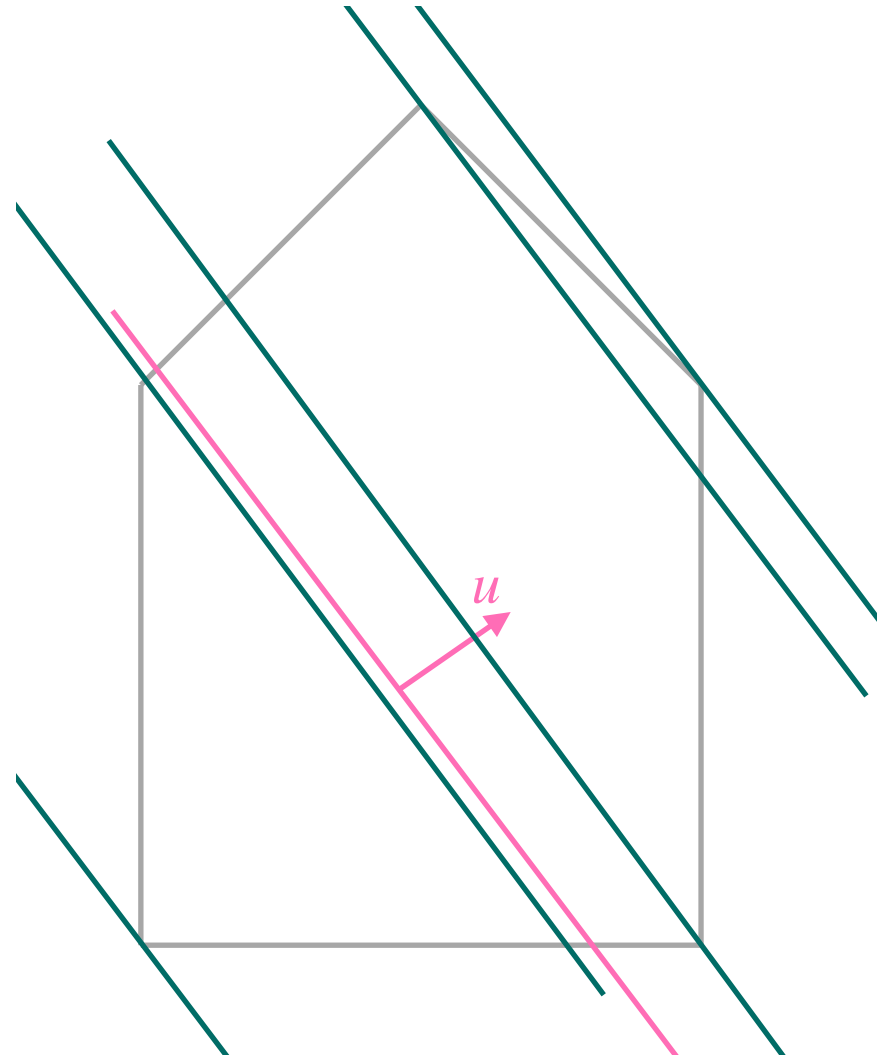


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TRANSLATIONAL APPROACH



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TRANSLATIONAL APPROACH

THEOREM (B.-MERONI-DE LOERA '23):

Let $P \subseteq \mathbb{R}^d$ be a polytope and $f(x) = \sum_{\alpha} c_{\alpha} x^{\alpha}$ be a polynomial in variables x_1, \dots, x_d .

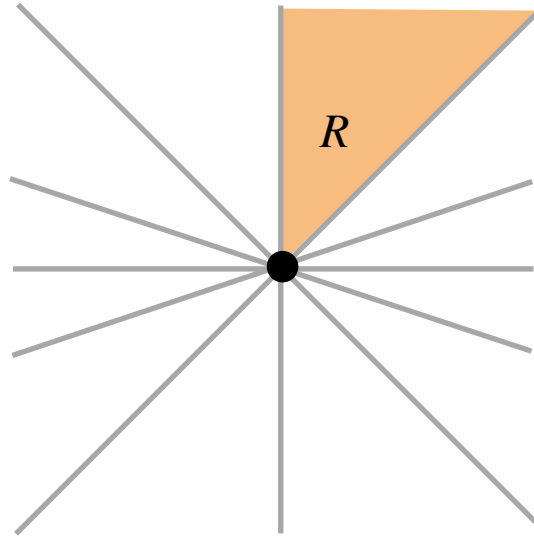
Fix a region $R \in \mathcal{R}_{\uparrow}(P)$ of the sweep arrangement, a unit direction $u \in R \cap S^{d-1}$ and a chamber $C(u) \in \mathcal{C}_{\uparrow}^u(P)$ of the parallel arrangement.

Restricted to $u \in R \cap S^{d-1}$ and $H(\beta) \in C(u)$, the integral

$$\int_{P \cap H(\beta)} f(x) \, dx$$

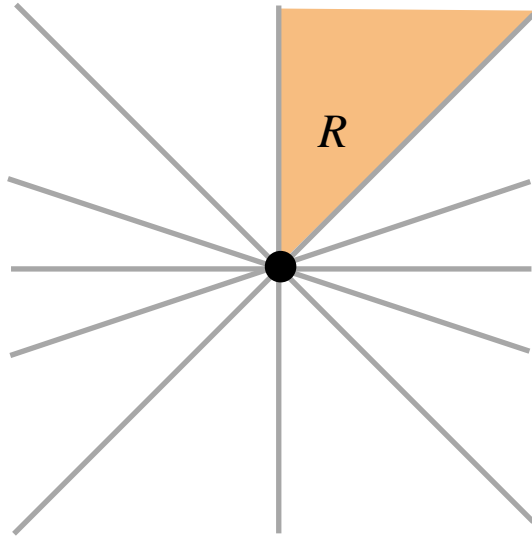
is a **rational function** in variables u_1, \dots, u_d, β .

TRANSLATIONAL APPROACH

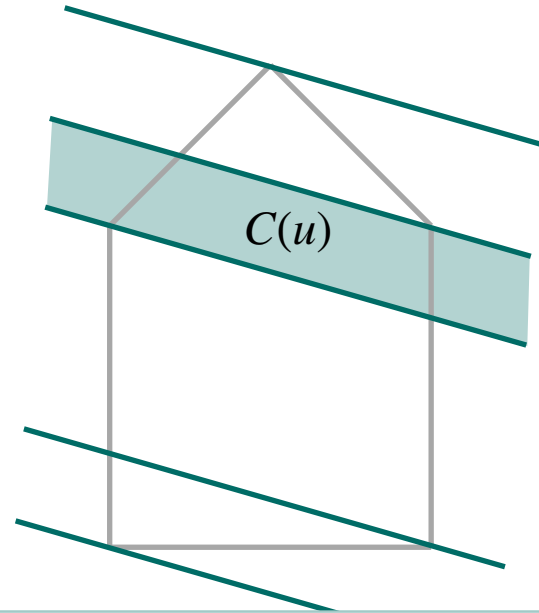


$$(u_1, u_2) \in R \iff \begin{aligned} u_1 &\geq 0 \\ u_1 - u_2 &\leq 0 \end{aligned}$$

TRANSLATIONAL APPROACH

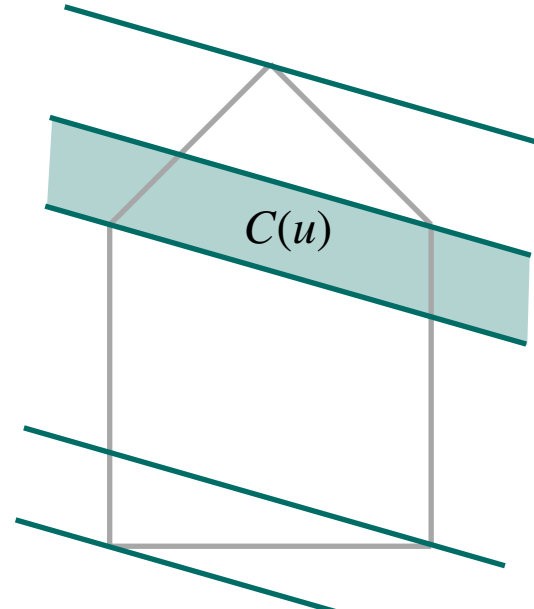
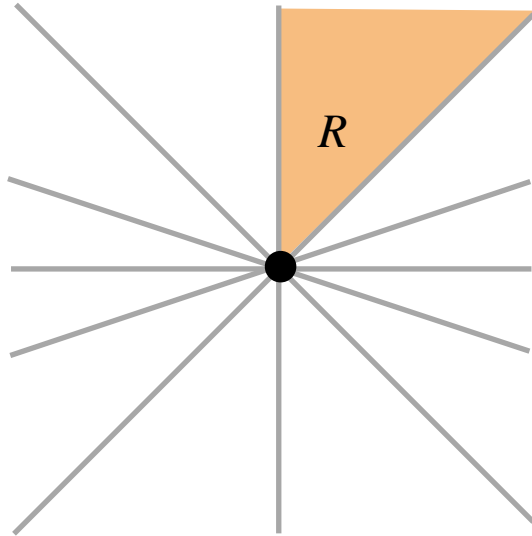


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$$\text{If } (u_1, u_2) \in R \cap S^{d-1} \text{ then } \beta \in C(u) \iff u_1 - u_2 \leq \beta \leq -u_1 + u_2$$

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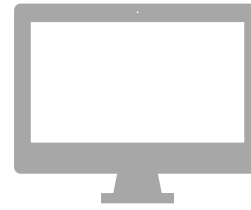


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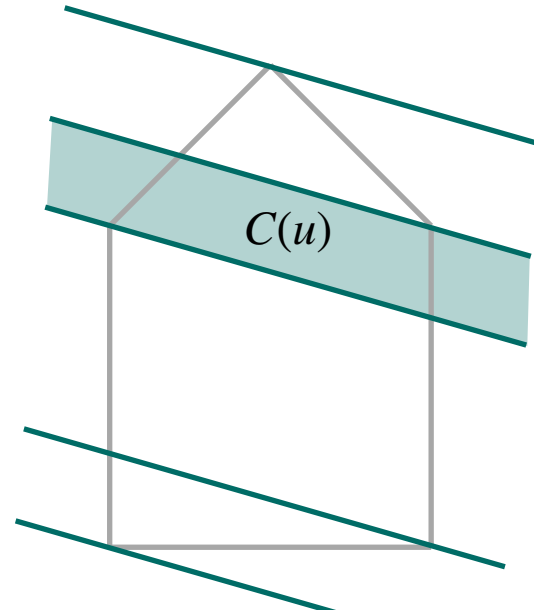
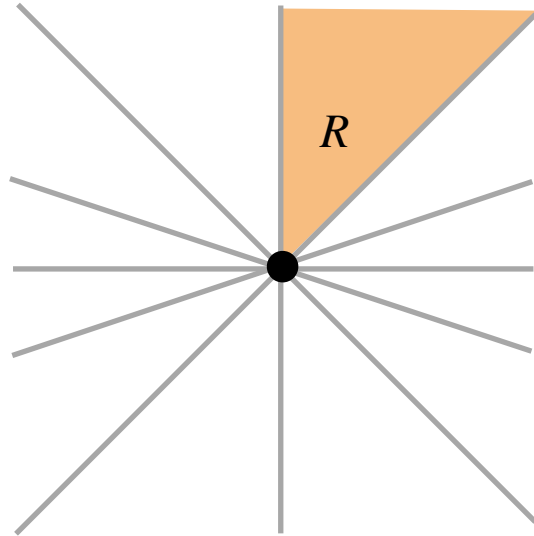
If $u \in R \cap S^{d-1}$ and $H(\beta) \in C(u)$ then

$$\text{vol}((P + t) \cap u^\perp) = \frac{-(\beta - u_1 - 3u_2)}{u_2(u_1 + u_2)}$$



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TRANSLATIONAL APPROACH



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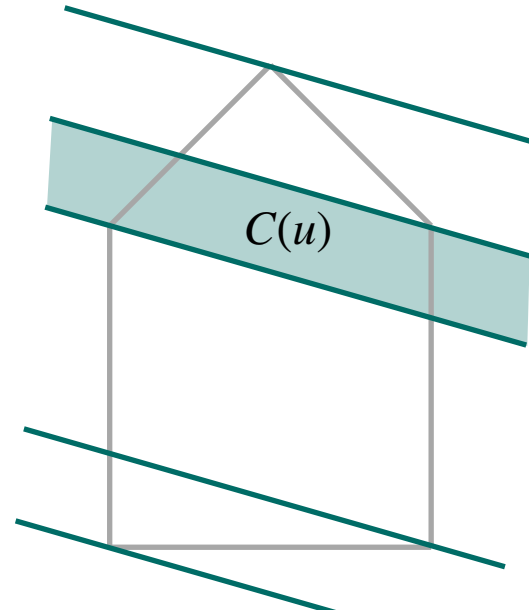
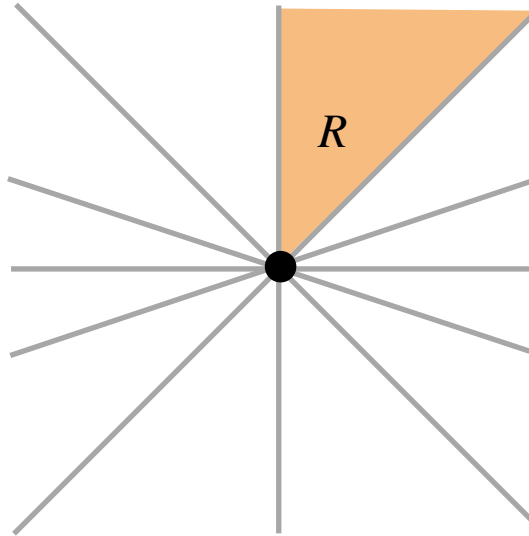
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TRANSLATIONAL APPROACH



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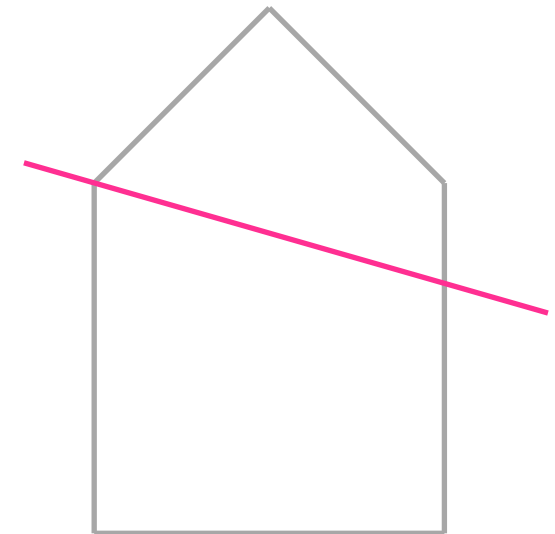
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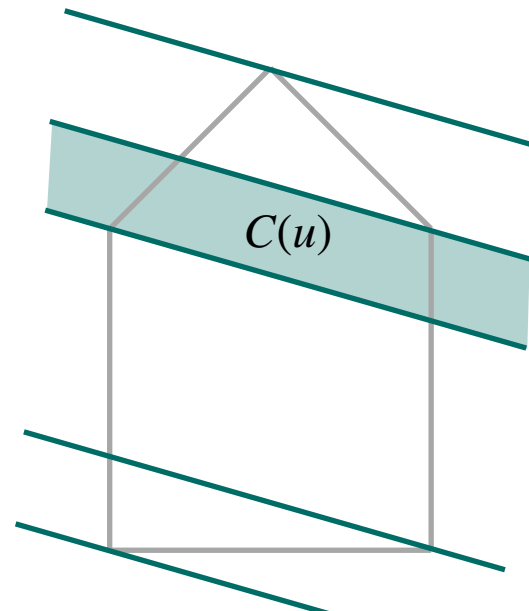
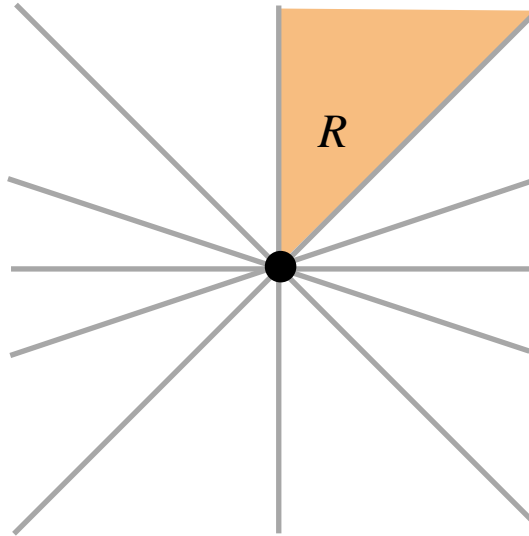
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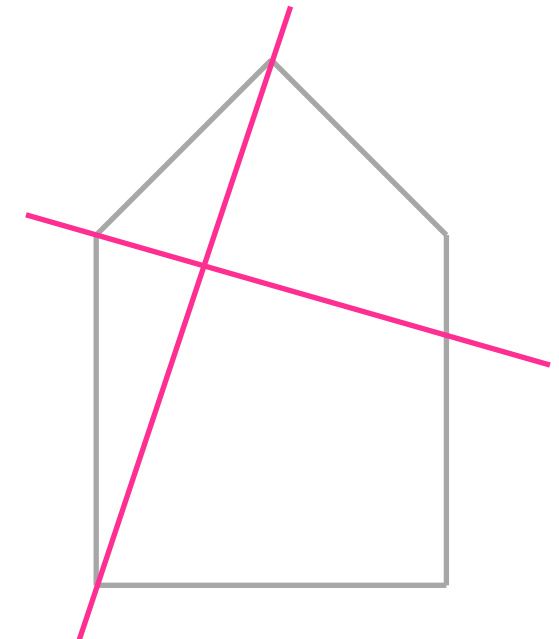
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ROTATION VS TRANSLATION

COMPARISON OF THE APPROACHES



COMPARISON

Running time of the algorithm \longleftrightarrow number of chambers in the arrangements

$n = \#$ vertices of P



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ROTATIONAL APPROACH

ARRANGEMENT #CHAMBERS (INCL. LOWER-DIM. CELLS)

$\mathcal{C}_{\mathcal{U}}(P)$	$O(n^d 2^d)$
$\mathcal{R}_{\mathcal{U}}(P)$	$O(n^{d^2} 2^d)$
Total	$O(n^{d^2+d} 2^d)$



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ROTATIONAL APPROACH

ARRANGEMENT #CHAMBERS (INCL. LOWER-DIM. CELLS)

$\mathcal{C}_{\downarrow}(P)$	$O(n^d 2^d)$
$\mathcal{R}_{\downarrow}(P)$	$O(n^{d^2} 2^d)$
Total	$O(n^{d^2+d} 2^d)$

TRANSLATIONAL APPROACH

ARRANGEMENT #CHAMBERS (INCL. LOWER-DIM.)

$\mathcal{C}_{\uparrow}(P)$	$O(n)$
$\mathcal{R}_{\uparrow}(P)$	$O(n^{2d} 2^d)$
Total	$O(n^{2d+1} 2^d)$



COMPARISON

Running time of the algorithm \longleftrightarrow number of chambers in the arrangements

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ROTATIONAL APPROACH

ARRANGEMENT #CHAMBERS (INCL. LOWER-DIM. CELLS)

$\mathcal{C}_{\circlearrowleft}(P)$	$O(n^d 2^d)$
$\mathcal{R}_{\circlearrowleft}(P)$	$O(n^{d^2} 2^d)$
Total	$O(n^{d^2+d} 2^d)$

TRANSLATIONAL APPROACH

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$\mathcal{C}_{\uparrow}(P)$	$O(n)$
$\mathcal{R}_{\uparrow}(P)$	$O(n^{2d} 2^d)$
Total	$O(n^{2d+1} 2^d)$

If $d \in \mathbb{N}$ is fixed then all of these are polynomials in n

\longrightarrow both approaches yield algorithms in polynomial running time



COMPARISON

Running time of the algorithm \longleftrightarrow number of chambers in the arrangements

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$\mathcal{C}_{\circlearrowleft}(P)$	$O(n^d 2^d)$
$\mathcal{R}_{\circlearrowleft}(P)$	$O(n^{d^2} 2^d)$
Total	$O(n^{d^2+d} 2^d)$

TRANSLATIONAL APPROACH

ARRANGEMENT #CHAMBERS (INCL. LOWER-DIM.)

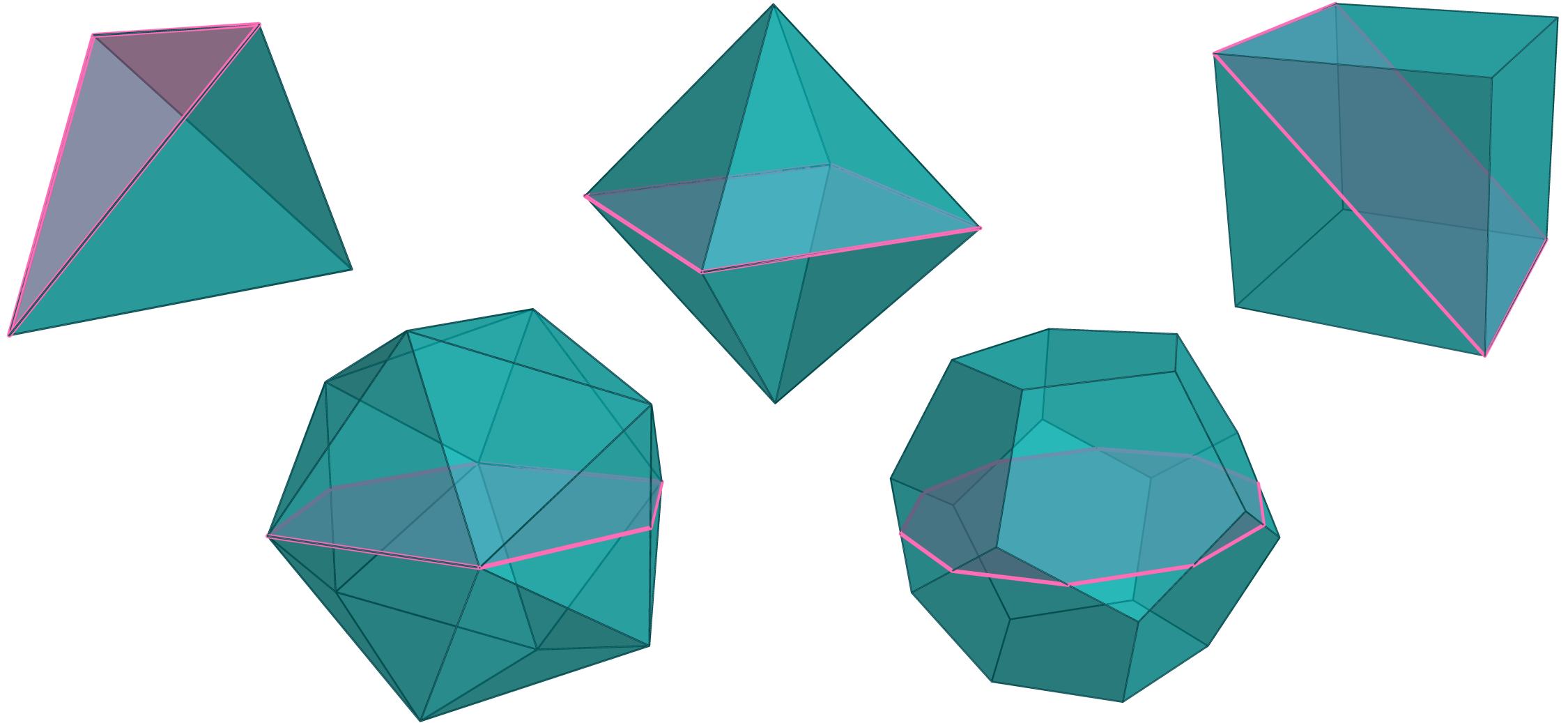
$\mathcal{C}_{\uparrow}(P)$	$O(n)$
$\mathcal{R}_{\uparrow}(P)$	$O(n^{2d} 2^d)$
Total	$O(n^{2d+1} 2^d)$

If $d \in \mathbb{N}$ is fixed then all of these are polynomials in n

\longrightarrow both approaches yield algorithms in polynomial running time

\longrightarrow Translational approach runs much faster

MAXIMUM VOLUME SLICES OF PLATONIC SOLIDS





VARIATIONS



WHAT ELSE?

WITH THE SAME METHODS WE CAN UNDERSTAND...

- Intersections with half-spaces
- Projections onto hyperplanes
- Combinatorial types



WHAT ELSE?

WITH THE SAME METHODS WE CAN UNDERSTAND...

- Intersections with half-spaces
- Projections onto hyperplanes
- Combinatorial types

WE CAN OPTIMIZE FOR...

- volume
- Integral of a polynomial
- Number of k -dimensional faces



WHAT ELSE?

WITH THE SAME METHODS WE CAN UNDERSTAND...

- Intersections with half-spaces
- Projections onto hyperplanes
- Combinatorial types

WE CAN OPTIMIZE FOR...

- volume
- Integral of a polynomial
- Number of k -dimensional faces

WE CAN COMPUTE ALL OF THIS IN POLYNOMIAL TIME IN FIXED DIMENSION

**(! MOST OF THESE PROBLEMS ARE KNOWN TO BE (AT LEAST)
NP-HARD IN NON-FIXED DIMENSION !)**



WHAT ELSE?

WITH THE SAME METHODS WE CAN UNDERSTAND...

- Intersections with half-spaces
- Projections onto hyperplanes
- Combinatorial types

WE CAN OPTIMIZE FOR...

- volume
- Integral of a polynomial
- Number of k -dimensional faces

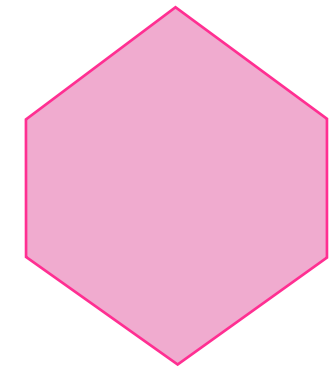
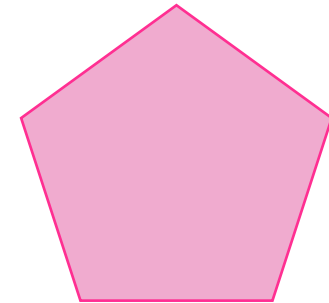
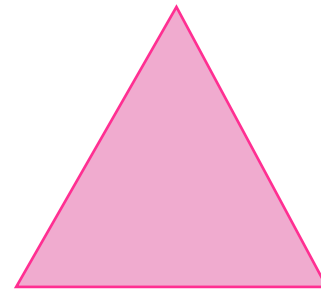
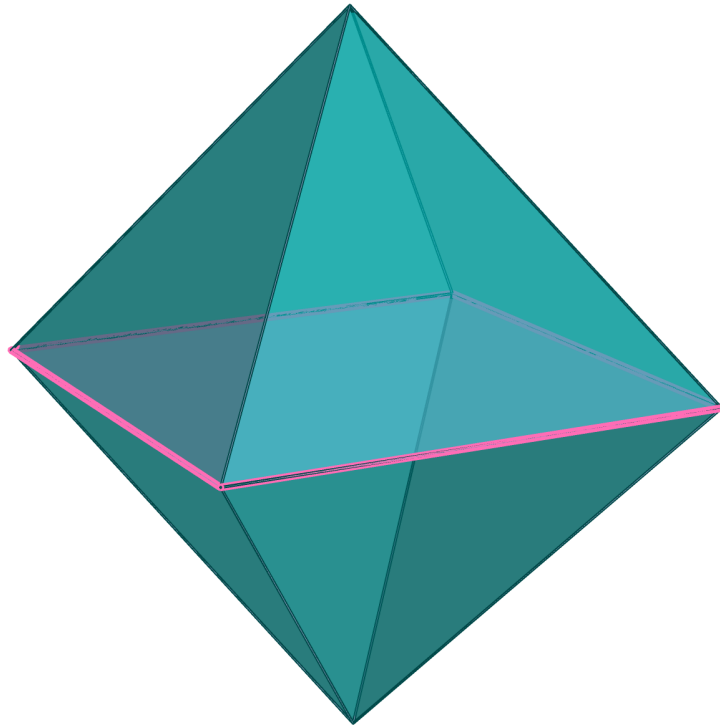
WE CAN COMPUTE ALL OF THIS IN POLYNOMIAL TIME IN FIXED DIMENSION

**(! MOST OF THESE PROBLEMS ARE KNOWN TO BE (AT LEAST)
NP-HARD IN NON-FIXED DIMENSION !)**

COMBINATORIAL TYPES OF SECTIONS OF THE CROSS-POLYTOPE

$$P = \text{conv}(\pm e_i \mid i \in [d])$$




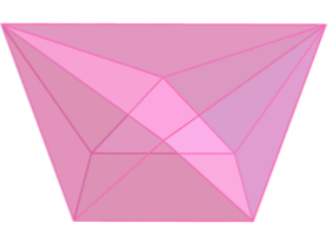
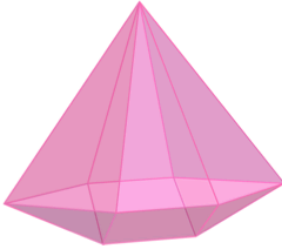



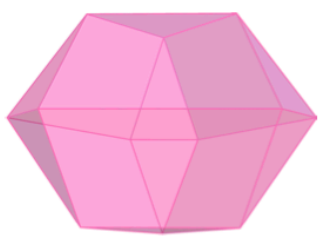
$$d = 3$$



COMBINATORIAL TYPES OF SECTIONS OF THE CROSS-POLYTOPE

$$P = \text{conv}(\pm e_i \mid i \in [d])$$

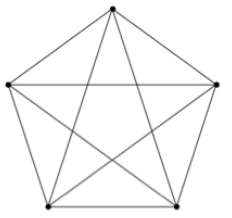
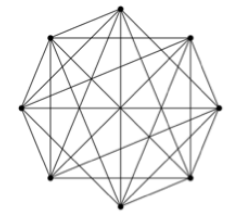
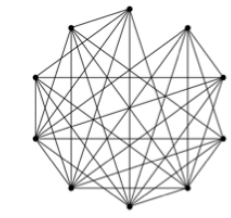
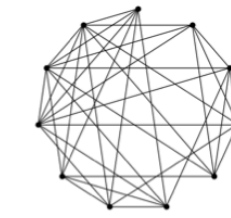
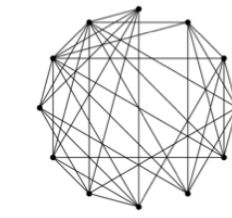
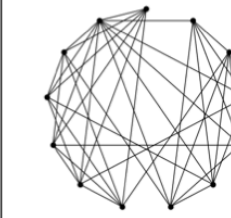
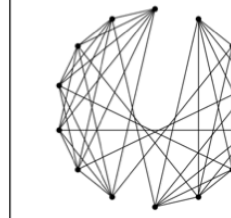
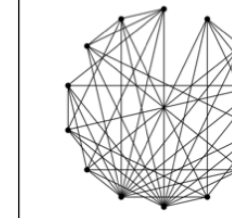
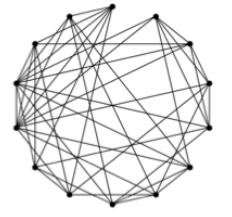
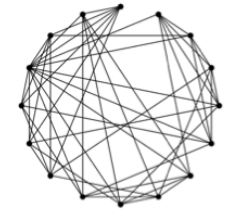
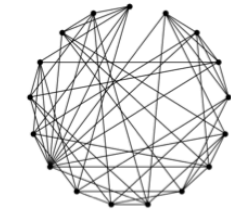
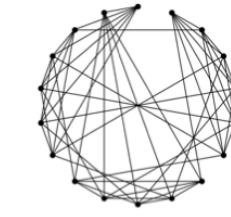

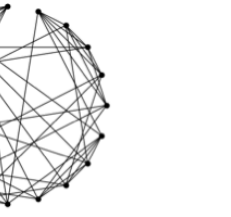
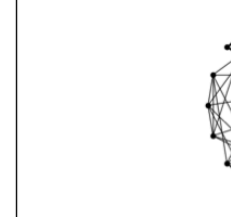

$$d = 4$$

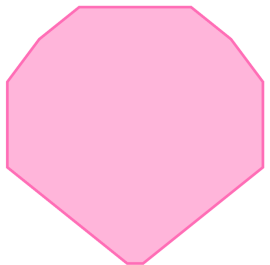
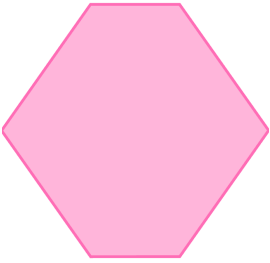
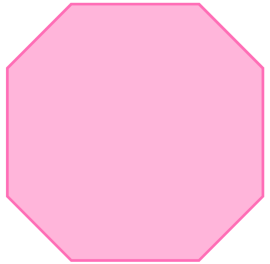
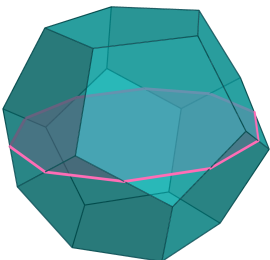
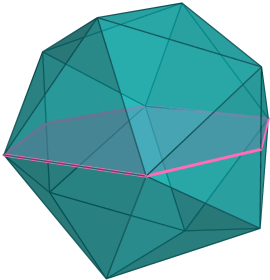
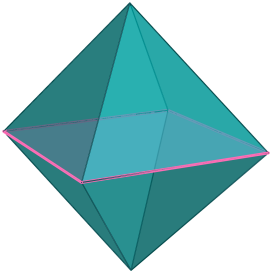
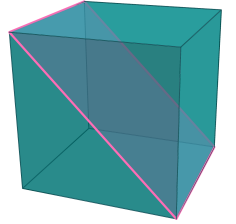
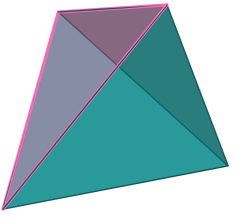
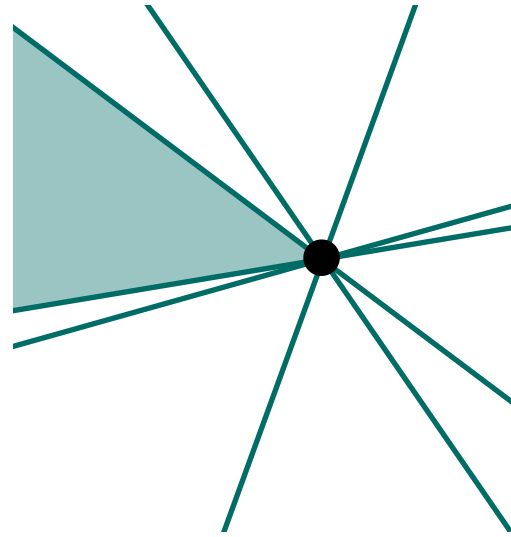
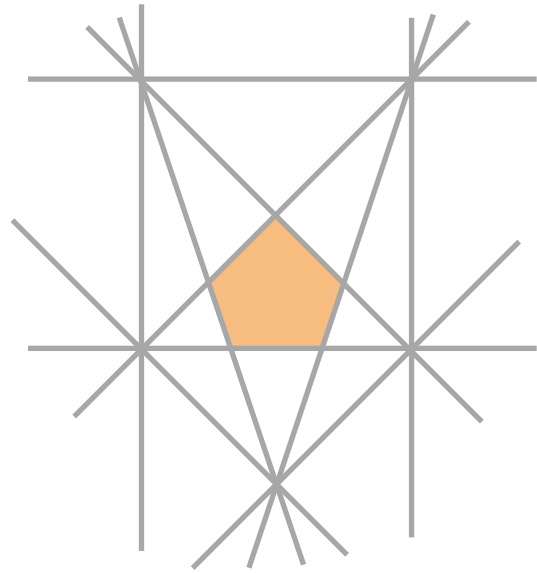
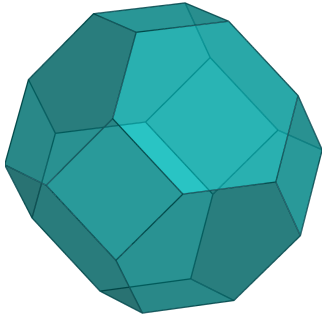
$P \cap H$					
f -vector	(4, 6, 4)	(6, 12, 8)	(8, 18, 12)	(8, 17, 11)	(9, 19, 12)
H	$x_1 + x_2 + x_3 + x_4 = 1$	$2x_1 = 1$	$x_1 + x_2 + x_3 = 0$	$2x_1 + 2x_2 + x_3 + x_4 = 1$	$2x_1 + 2x_2 + x_3 = 1$
$P \cap H$					
f -vector	(8, 18, 12)	(10, 21, 13)	(12, 24, 14)	(12, 24, 14)	
H	$x_1 + x_2 + x_3 = 0$	$+2x_2 + 2x_3 + x_4 = 1$	$x_1 + x_2 + x_3 + x_4 = 0$	$2x_1 + 2x_2 + 2x_3 = 1$	

COMBINATORIAL TYPES OF SECTIONS OF THE CROSS-POLYTOPE

$$P = \text{conv}(\pm e_i \mid i \in [d])$$

$$d = 5$$

$P \cap H$								
f -vector	(5, 10, 10, 5)	(8, 24, 32, 16)	(10, 34, 48, 24)	(11, 36, 48, 23)	(12, 39, 51, 24)	(13, 41, 52, 24)	(14, 42, 52, 24)	(14, 48, 62, 28)
H	$x_1 + x_2 + x_3 + x_4 + x_5 = 1$	$2x_1 = 1$	$x_1 + x_2 + x_3 = 0$	$2x_1 + 2x_2 + x_3 + x_4 + x_5 = 1$	$2x_1 + 2x_2 + x_3 + x_4 = 1$	$2x_1 + 2x_2 + x_3 = 1$	$2x_1 + 2x_2 = 1$	$x_1 + x_2 + x_3 + x_4 = 0$
$P \cap H$								
f -vector	(14, 46, 59, 27)	(16, 51, 63, 28)	(17, 54, 66, 29)	(18, 54, 64, 28)	(20, 60, 70, 30)		(20, 60, 70, 30)	
H	$2x_1 + 2x_2 + 2x_3 + x_4 + x_5 = 1$	$2x_1 + 2x_2 + 2x_3 + x_4 = 1$	$2x_1 + 2x_2 + 2x_3 + 2x_4 + x_5 = 1$	$2x_1 + 2x_2 + 2x_3 = 1$	$2x_1 + 2x_2 + 2x_3 + 2x_4 = 1$		$x_1 + x_2 + x_3 + x_4 + x_5 = 0$	



THANK YOU!

