

Multivariate Volume, Ehrhart and h^* -Polynomials of Polytropes

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joint work with Leon Zhang (UC Berkeley)

23 July 2020

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$$\mathbf{v} \oplus \mathbf{w} = \begin{pmatrix} \min(v_1, w_1) \\ \vdots \\ \min(v_n, w_n) \end{pmatrix} \quad \lambda \odot \mathbf{v} = \begin{pmatrix} \lambda + v_1 \\ \vdots \\ \lambda + v_n \end{pmatrix}$$

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Example: $1 \odot \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix} \oplus \begin{pmatrix} 5 \\ 2 \\ 0 \end{pmatrix} = \begin{pmatrix} \min(1+0, 5) \\ \min(1+1, 2) \\ \min(1+2, 0) \end{pmatrix} = \begin{pmatrix} 1 \\ 2 \\ 0 \end{pmatrix}$

Definition

Let $V = \{v_1, \dots, v_r\} \subseteq \mathbb{R}^n$. The tropical convex hull of V is

$$\text{tconv}(V) = \{a_1 \odot v_1 \oplus \dots \oplus a_r \odot v_r \mid a_1, \dots, a_r \in \mathbb{R}\}$$

$$x \in \text{tconv}(V) \implies \lambda \odot x = x + \lambda(1, \dots, 1)^T \in \text{tconv}(V).$$

Identify $\text{tconv}(V)$ with its image in the tropical projective torus

$$\mathbb{TP}^{n-1} = \mathbb{R}^n / \mathbb{R} \odot (1, \dots, 1)^T.$$

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Example: $\text{tconv} \left(\begin{pmatrix} 0 & 2 \\ 0 & 1 \\ 0 & 0 \end{pmatrix} \right) = \left\{ \begin{pmatrix} \min(a_1, a_2 + 2) \\ \min(a_1, a_2 + 1) \\ \min(a_1, a_2) \end{pmatrix} \mid a_1, a_2 \in \mathbb{R}, \min(a_1, a_2) = 0 \right\}$

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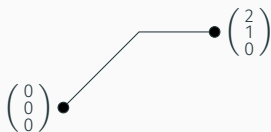
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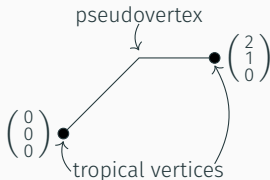
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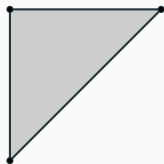
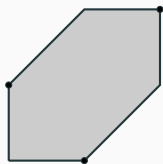
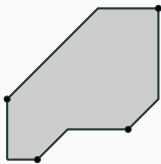
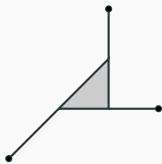
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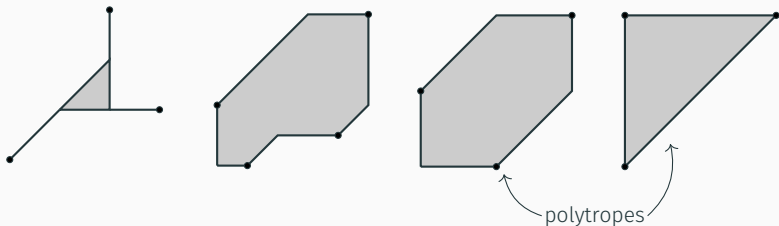
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TROPICAL POLYTOPES



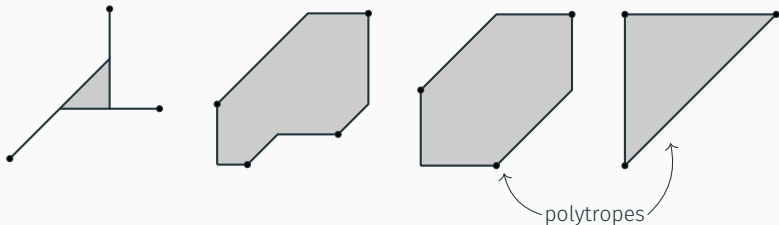
TROPICAL POLYTOPES



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Polytropes are tropical polytopes that are classically convex.

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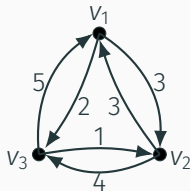
Given the tropical vertices of a tropical polytope P , how can we tell if P is a polytrope?

↳ Kleene stars!

KLEENE STARS AND SHORTEST PATHS

$$c = \begin{pmatrix} 0 & 3 & 2 \\ 3 & 0 & 4 \\ 5 & 1 & 0 \end{pmatrix}$$

$(n \times n)$ -matrix with
0's along the diagonal



weighted complete digraph K_n

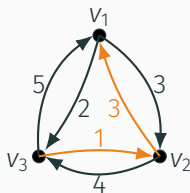
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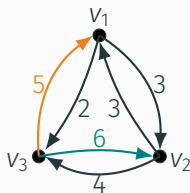
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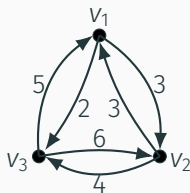
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Definition

A matrix $\mathbf{c} \in \mathbb{R}^{n \times n}$ is a Kleene star if $\mathbf{c} = \mathbf{c}^*$. The polytrope region is the set $\mathcal{Pol}_n = \{\mathbf{c} \in \mathbb{R}^{n \times n} \mid \mathbf{c} \text{ is a Kleene star}\}$.

Proposition (de la Puente '13)

Let $P \subseteq \mathbb{TP}^{n-1}$ be a non-empty set. The following are equivalent:

- (1) P is a polytrope.
- (2) There is a Kleene star $\mathbf{c} \in \mathcal{Pol}_n$ such that $P = \text{tconv}(\mathbf{c})$.
- (3) There is a Kleene star $\mathbf{c} \in \mathcal{Pol}_n$ such that
$$P = \{y \in \mathbb{R}^n \mid y_i - y_j \leq c_{ij}, y_n = 0\}.$$

Furthermore, the \mathbf{c} 's in the last two statements are equal, and are uniquely determined by P .

Definition

A polytrope is called maximal if it has $\binom{2n-2}{n-1}$ vertices as an ordinary polytope.

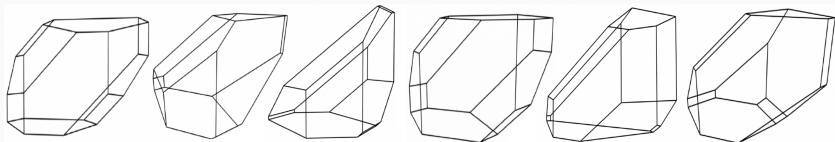
MAXIMAL POLYTOPES

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# comb. types of max. polytopes	1	6	27248	?

Kulas-Joswig '08, Jiménez-De la Puente '12, Tran '17, Joswig-Schröter '19



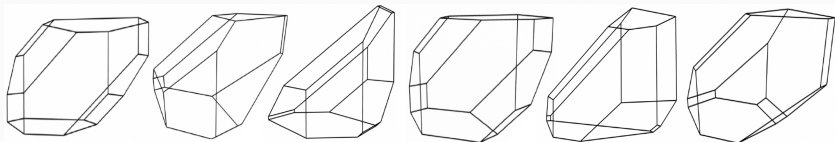
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Question

Given two Kleene stars, how can we tell if they define polytopes of the same type?

$$\mathcal{Pol}_n = \{\mathbf{c} \in \mathbb{R}^{n \times n} \mid \mathbf{c} \text{ is a Kleene star}\}$$

Gröbner fan \mathcal{GF}_n of the ideal $I = \langle x_{ij}x_{ji} - 1, x_{ij}x_{jk} - x_{ik} \rangle$

→ Subfan $\mathcal{GF}_n|_{\mathcal{Pol}_n}$

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Theorem (Tran, '17)

Cones of $\mathcal{GF}_n|_{\mathcal{P}ol_n} \xleftrightarrow{\text{bij.}}$ types of polytropes in \mathbb{TP}^{n-1}

Open max cones of $\mathcal{GF}_n|_{\mathcal{P}ol_n} \xleftrightarrow{\text{bij.}}$ types of max polytropes in \mathbb{TP}^{n-1}

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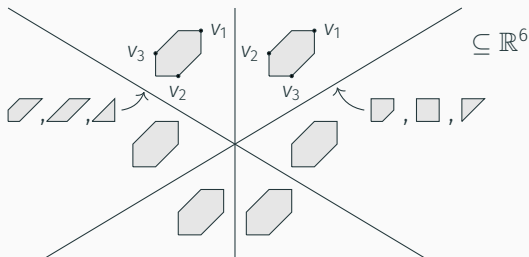
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Goal

We want to compute a polynomial

$$p : \text{open max cone of } \mathcal{GF}_n |_{\mathcal{P}ol_n} \rightarrow \mathbb{R}$$

$$\text{Kleene star } \mathbf{c} \mapsto \text{Vol}(P_{\mathbf{c}})$$

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Theorem

Let X be the smooth toric variety defined by the normal fan Σ of a maximal polytope $P_{\mathbf{c}}$ and D_{ij} the divisors corresponding to the rays of Σ . Then $[D_{ij}] \in H^2(X, \mathbb{Q})$ and

$$p(a_{12}, a_{13}, \dots, a_{n(n-1)}) = \int_X \left[\sum a_{ij} D_{ij} \right]^{\dim P}$$

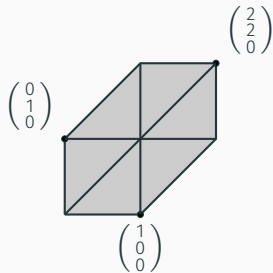
is a polynomial such that $p(\mathbf{c}) = \text{Vol}(P_{\mathbf{c}})$.

There is an algorithm (de Loera-Sturmfels '03) that only depends on the choice of the cone.

VOLUME POLYNOMIALS

$$\begin{aligned} \text{Vol}(\mathbf{a}) = & -a_{12}^2 - a_{13}^2 - a_{21}^2 - a_{23}^2 - a_{31}^2 - a_{32}^2 + 2a_{12}a_{13} \\ & + 2a_{13}a_{23} + 2a_{21}a_{23} + 2a_{21}a_{31} + 2a_{12}a_{32} + 2a_{31}a_{32} \end{aligned}$$

$$\mathbf{c} = \begin{pmatrix} 0 & 1 & 2 \\ 1 & 0 & 2 \\ 0 & 0 & 0 \end{pmatrix}$$



$$\text{Vol}(\mathbf{c}) = 6$$

Discrete volume \rightarrow lattice point count

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$ehr_P(a_1, \dots, a_m, t) \rightarrow$ independently move facets.

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- Use a differential operator - the *Todd Operator*

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Theorem (Khovanskiĭ-Pukhlikov, 1992)

Let $P \subseteq \mathbb{R}^n$ be a unimodular, lattice d -polytope. Then:

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For $\mathbf{h} \in \mathbb{R}^m$, the shifted polytope $P_{\mathbf{h}}$ is defined as

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FROM CONTINUOUS TO DISCRETE VOLUME

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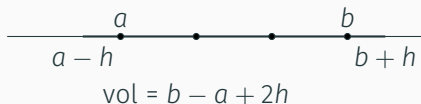
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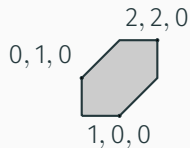
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$$\begin{aligned} & \text{Todd}(b - a + 2h)|_{h=0} \\ &= [1 + \frac{1}{2} \frac{d}{dh}](b - a + 2h)|_{h=0} \\ &= b - a + 2h + 1|_{h=0} \\ &= b - a + 1 \end{aligned}$$

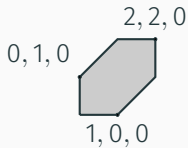
EHRHART POLYNOMIAL - EXAMPLE



$$\begin{aligned} \text{vol}(\mathbf{a}) = & -\frac{1}{2}a_{12}^2 - \frac{1}{2}a_{13}^2 - \frac{1}{2}a_{21}^2 - \frac{1}{2}a_{23}^2 - \frac{1}{2}a_{31}^2 - \frac{1}{2}a_{32}^2 \\ & + a_{12}a_{13} + a_{13}a_{23} + a_{21}a_{23} + a_{21}a_{31} + a_{12}a_{32} + a_{31}a_{32} \end{aligned}$$

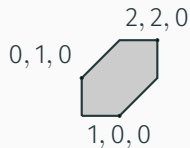
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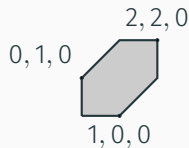
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→ for small \mathbf{h} , $\text{vol}(P_{\mathbf{h}}) = \text{vol}(\mathbf{a} + \mathbf{h})$

EHRHART POLYNOMIAL - EXAMPLE



$$\begin{aligned} \text{vol}(\mathbf{a}) &= -\frac{1}{2}a_{12}^2 - \frac{1}{2}a_{13}^2 - \frac{1}{2}a_{21}^2 - \frac{1}{2}a_{23}^2 - \frac{1}{2}a_{31}^2 - \frac{1}{2}a_{32}^2 \\ &\quad + a_{12}a_{13} + a_{13}a_{23} + a_{21}a_{23} + a_{21}a_{31} + a_{12}a_{32} + a_{31}a_{32} \\ &\quad \rightarrow \text{volume in terms of facet heights} \end{aligned}$$

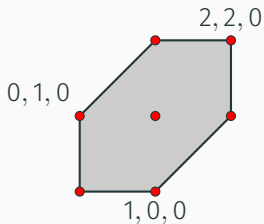
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Todd $_{\mathbf{h}}$ vol($\mathbf{a} + \mathbf{h}$)

$$\begin{aligned} &= \left[1 + \frac{1}{2} \frac{\partial}{\partial h_{12}} + \frac{1}{6} \left(\frac{\partial}{\partial h_{12}}\right)^2\right] \cdots \left[1 + \frac{1}{2} \frac{\partial}{\partial h_{32}} + \frac{1}{6} \left(\frac{\partial}{\partial h_{32}}\right)^2\right] \text{vol}(\mathbf{a} + \mathbf{h})|_{\mathbf{h}=\mathbf{0}} \\ &= \left[1 + \frac{1}{2} \frac{\partial}{\partial a_{12}} + \frac{1}{6} \left(\frac{\partial}{\partial a_{12}}\right)^2\right] \cdots \left[1 + \frac{1}{2} \frac{\partial}{\partial a_{32}} + \frac{1}{6} \left(\frac{\partial}{\partial a_{32}}\right)^2\right] \text{vol}(\mathbf{a}) \\ &= \text{vol}(\mathbf{a}) + \frac{1}{2}(a_{12} + a_{13} + a_{21} + a_{23} + a_{31} + a_{32}) + 1 \end{aligned}$$

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$$\begin{aligned}ehr(\mathbf{a}) &= -\frac{1}{2}a_{12}^2 - \frac{1}{2}a_{13}^2 - \frac{1}{2}a_{21}^2 - \frac{1}{2}a_{23}^2 - \frac{1}{2}a_{31}^2 - \frac{1}{2}a_{32}^2 \\ &\quad + a_{12}a_{13} + a_{13}a_{23} + a_{21}a_{23} + a_{21}a_{31} + a_{12}a_{32} + a_{31}a_{32} \\ &\quad + \frac{1}{2}(a_{12} + a_{13} + a_{21} + a_{23} + a_{31} + a_{32}) + 1\end{aligned}$$



$$ehr(1, 2, 1, 2, 0, 0) = 7$$

$$ehr(t, 2t, t, 2t, 0, 0) = 3t^2 + 3t + 1$$

Ehrhart series of a d -dimensional lattice polytope P :

$$\text{Ehr}_P(t) = \sum_{k \geq 0} \text{ehr}_P(k)t^k = \frac{h^*(t)}{(1-t)^{d+1}}$$

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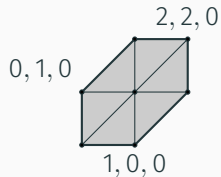
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FROM EHRHART TO h^* : EXAMPLE

$$h^*(t) = \sum_{j=0}^d c_j A_j(t) (1-t)^{d-j}$$



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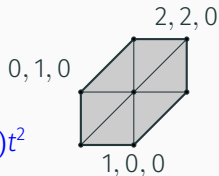
$$ehr(\mathbf{a}, t) =$$

$$-\frac{1}{2}(a_{12}^2 + a_{13}^2 + a_{21}^2 + a_{23}^2 + a_{31}^2 + a_{32}^2)t^2$$

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$$+ \frac{1}{2}(a_{12} + a_{13} + a_{21} + a_{23} + a_{31} + a_{32})t + 1$$

→ collect terms of like degree



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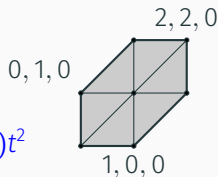
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→ apply formula to find h^*

$$h^*(\mathbf{a}, t) = \left(\sum_{i \neq j \in [3]} -\frac{1}{2}[a_{ij}^2 + a_{ij}] + \sum_{i \neq j \neq k \in [3]} [a_{ij}a_{ik} + a_{ji}a_{ki}] + 1 \right) t^2$$

$$+ \left(\sum_{i \neq j \in [3]} \frac{1}{2}[a_{ij} - a_{ij}^2] + \sum_{i \neq j \neq k \in [3]} [a_{ij}a_{ik} + a_{ji}a_{ki}] - 2 \right) t + 1$$

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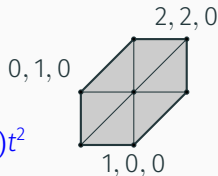
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$$h^*(1, 2, 1, 2, 0, 0, t) = t^2 + 4t + 1$$

Result:

Multivariate volume, Ehrhart, and h^* polynomials for all polytopes up to dimension 4.

→ fast computation time.

Result:

Multivariate volume, Ehrhart, and h^* polynomials for all polytropes up to dimension 4.

→ fast computation time. Volume polynomial of a 3-polytrope:

$$\begin{aligned}
 & 2a_{12}^3 - 3a_{12}^2a_{13} + a_{13}^3 - 3a_{12}^2a_{14} + 6a_{12}a_{13}a_{14} - 3a_{13}^2a_{14} + a_{21}^3 - 3a_{13}^2a_{23} \\
 & + 6a_{13}a_{14}a_{23} - 3a_{14}^2a_{23} - 3a_{14}a_{23}^2 - 3a_{21}a_{23}^2 + a_{23}^3 - 3a_{21}^2a_{24} + 6a_{14}a_{23}a_{24} \\
 & + 6a_{21}a_{23}a_{24} - 3a_{14}a_{24}^2 - 3a_{23}a_{24}^2 + a_{24}^3 - 3a_{21}^2a_{31} + 6a_{21}a_{24}a_{31} - 3a_{24}^2a_{31} \\
 & - 3a_{24}a_{31}^2 + a_{31}^3 - 3a_{12}^2a_{32} + 6a_{12}a_{14}a_{32} - 3a_{14}^2a_{32} - 3a_{31}^2a_{32} - 3a_{14}a_{32}^2 \\
 & + 6a_{14}a_{24}a_{34} + 6a_{24}a_{31}a_{34} + 6a_{14}a_{32}a_{34} + 6a_{31}a_{32}a_{34} - 3a_{14}a_{34}^2 - 3a_{24}a_{34}^2 \\
 & - 3a_{31}a_{34}^2 - 3a_{32}a_{34}^2 + 2a_{34}^3 + 6a_{21}a_{31}a_{41} - 3a_{31}^2a_{41} + 6a_{31}a_{32}a_{41} - 3a_{32}^2a_{41} \\
 & - 3a_{21}a_{41}^2 - 3a_{32}a_{41}^2 + a_{41}^3 - 3a_{12}^2a_{42} + 6a_{12}a_{13}a_{42} - 3a_{13}^2a_{42} + 6a_{12}a_{32}a_{42} \\
 & + 6a_{32}a_{41}a_{42} - 3a_{13}a_{42}^2 - 3a_{32}a_{42}^2 - 3a_{41}a_{42}^2 + a_{42}^3 - 3a_{21}^2a_{43} + 6a_{13}a_{23}a_{43} \\
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 & - 3a_{13}a_{43}^2 - 3a_{21}a_{43}^2 - 3a_{42}a_{43}^2 + a_{43}^3
 \end{aligned}$$

UNDERSTANDING THE COEFFICIENTS

dimension	2	3	4	5
# comb. types of max. polytropes	1	6	27248	?

Kulas-Joswig '08, Jiménez-De la Puente '12, Tran '17, Joswig-Schröter '19

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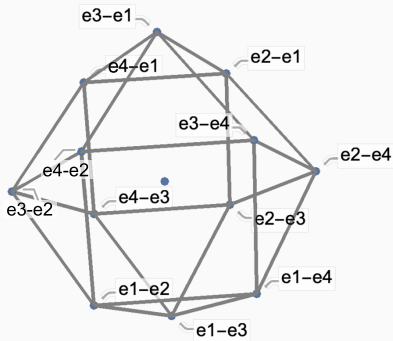
Theorem: Joswig-Schröter '19

Maximal n -polytopes \Leftrightarrow regular central triangulations of the fundamental polytope FP_{n+1} .

fundamental polytope:

$$FP_n = \text{conv}\{\mathbf{e}_i - \mathbf{e}_j \mid i \neq j \in [n]\}.$$

UNDERSTANDING THE COEFFICIENTS: DIMENSION 3



A regular central triangulation of FP_4 is determined by a triangulation of each of the six square facets.

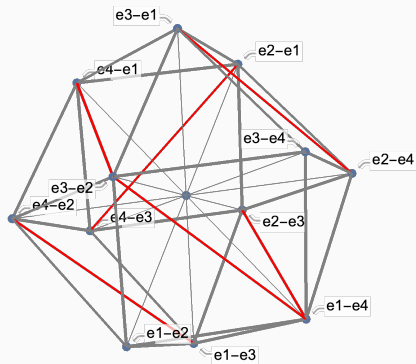
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The coefficients of the six 3-dimensional volume polynomials correspond to the six triangulations of the fundamental polytope.

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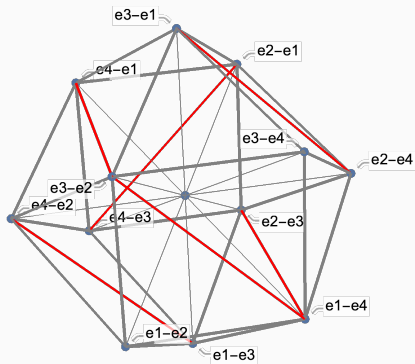


$$\begin{pmatrix} 0 & 11 & 20 & 29 \\ 21 & 0 & 19 & 20 \\ 20 & 29 & 0 & 11 \\ 19 & 20 & 21 & 0 \end{pmatrix}$$

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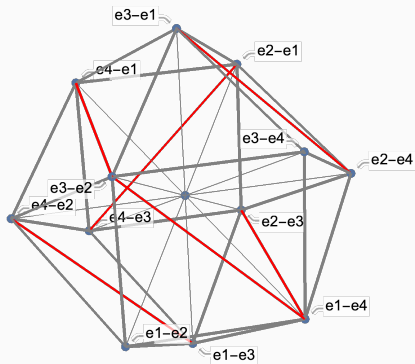
$\{e_1 - e_2, e_3 - e_2, e_1 - e_4, 0\}$
form a simplex

\Rightarrow coefficient of $a_{12}a_{32}a_{14}$ is 6.

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$e_1 - e_2$ neighbors $e_3 - e_2$
and $e_3 - e_2$ is adjacent to a
triangulating edge in the square

\Rightarrow coefficient of $a_{12}^2 a_{32}$ is -3.

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$$\begin{aligned} & 2a_{12}^3 - 3a_{12}^2a_{13} + a_{13}^3 - 3a_{12}^2a_{14} + 6a_{12}a_{13}a_{14} - 3a_{13}^2a_{14} + a_{21}^3 - 3a_{13}^2a_{23} \\ & + 6a_{13}a_{14}a_{23} - 3a_{14}^2a_{23} - 3a_{14}a_{23}^2 - 3a_{21}a_{23}^2 + a_{23}^3 - 3a_{21}^2a_{24} + 6a_{14}a_{23}a_{24} \\ & + 6a_{21}a_{23}a_{24} - 3a_{14}a_{24}^2 - 3a_{23}a_{24}^2 + a_{24}^3 - 3a_{21}^2a_{31} + 6a_{21}a_{24}a_{31} - 3a_{24}^2a_{31} \\ & - 3a_{24}a_{31}^2 + a_{31}^3 - 3a_{12}^2a_{32} + 6a_{12}a_{14}a_{32} - 3a_{14}^2a_{32} - 3a_{31}^2a_{32} - 3a_{14}a_{32}^2 \\ & + 6a_{14}a_{24}a_{34} + 6a_{24}a_{31}a_{34} + 6a_{14}a_{32}a_{34} + 6a_{31}a_{32}a_{34} - 3a_{14}a_{34}^2 - 3a_{24}a_{34}^2 \\ & - 3a_{31}a_{34}^2 - 3a_{32}a_{34}^2 + 2a_{34}^3 + 6a_{21}a_{31}a_{41} - 3a_{31}^2a_{41} + 6a_{31}a_{32}a_{41} - 3a_{32}^2a_{41} \\ & - 3a_{21}a_{41}^2 - 3a_{32}a_{41}^2 + a_{41}^3 - 3a_{12}^2a_{42} + 6a_{12}a_{13}a_{42} - 3a_{13}^2a_{42} + 6a_{12}a_{32}a_{42} \\ & + 6a_{32}a_{41}a_{42} - 3a_{13}a_{42}^2 - 3a_{32}a_{42}^2 - 3a_{41}a_{42}^2 + a_{42}^3 - 3a_{21}^2a_{43} + 6a_{13}a_{23}a_{43} \\ & + 6a_{21}a_{23}a_{43} - 3a_{23}^2a_{43} + 6a_{21}a_{41}a_{43} - 3a_{41}^2a_{43} + 6a_{13}a_{42}a_{43} + 6a_{41}a_{42}a_{43} \\ & - 3a_{13}a_{43}^2 - 3a_{21}a_{43}^2 - 3a_{42}a_{43}^2 + a_{43}^3 \end{aligned}$$

→ less straight forward than dim 3

UNDERSTANDING THE COEFFICIENTS: DIMENSION 4

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→ Embed the 27,248 normalized volume polynomials in the vector space of homogeneous polynomials of degree 4, having dimension $\binom{23}{4} = 8855$. The affine span has dimension 70.

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Partition	Example monomial	Possible coefficients	Coefficient sum
4	a_{12}^4	-6, -3, -2, -1, 0, 1, 2, 3	-20
3 + 1	$a_{12}^3 a_{13}$	-4, 0, 4, 8	320
2 + 2	$a_{12}^2 a_{13}^2$	0, 6	300
2+1+1	$a_{12} a_{13} a_{14}^2$	-12, 0, 12	-2160
1+1+1+1	$a_{12} a_{13} a_{14} a_{15}$	0, 24	1680

SUMMARY AND FUTURE QUESTIONS

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Thank You!